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Amyloid and Tau Pathology Associations With Personality Traits, Neuropsychiatric Symptoms, and Cognitive Lifestyle in the Preclinical Phases of Sporadic and Autosomal Dominant Alzheimer’s Disease

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ABSTRACT
BACKGROUND: Major prevention trials for Alzheimer’s disease (AD) are now focusing on multidomain lifestyle interventions. However, the exact combination of behavioral factors related to AD pathology remains unclear. In 2 cohorts of cognitively unimpaired individuals at risk of AD, we examined which combinations of personality traits, neuropsychiatric symptoms, and cognitive lifestyle (years of education or lifetime cognitive activity) related to the pathological hallmarks of AD, amyloid-\(\beta\), and tau deposits.

METHODS: A total of 115 older adults with a parental or multiple-sibling family history of sporadic AD (PREVENT-AD [PRe-symptomatic EValuation of Experimental or Novel Treatments for AD] cohort) underwent amyloid and tau positron emission tomography and answered several questionnaires related to behavioral attributes. Separately, we studied 117 mutation carriers from the DIAN (Dominant Inherited Alzheimer Network) study group cohort with amyloid positron emission tomography and behavioral data. Using partial least-squares analysis, we identified latent variables relating amyloid or tau pathology with combinations of personality traits, neuropsychiatric symptoms, and cognitive lifestyle.

RESULTS: In PREVENT-AD, lower neuroticism, neuropsychiatric burden, and higher education were associated with less amyloid deposition (\(p = .014\)). Lower neuroticism and neuropsychiatric features, along with higher measures of openness and extraversion, were related to less tau deposition (\(p = .006\)). In DIAN, lower neuropsychiatric burden and higher education were also associated with less amyloid (\(p = .005\)). The combination of these factors accounted for up to 14% of AD pathology.

CONCLUSIONS: In the preclinical phase of both sporadic and autosomal dominant AD, multiple behavioral features were associated with AD pathology. These results may suggest potential pathways by which multidomain interventions might help delay AD onset or progression.

Keywords: Alzheimer’s, PET, Prevention, Reserve, Resistance, Risk factors

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Given the limited successes of pharmacological treatments for Alzheimer’s disease (AD), attention has shifted toward risk or protective factors that might prevent or postpone disease onset (1,2). Estimates suggest that a multidomain lifestyle intervention that achieved a 10% reduction in risk factors could prevent more than a million cases worldwide (3). The mechanisms that link protective factors and AD risk are not well understood, but current notions of resilience and resistance may be helpful (4). While “resilience” refers to the preservation of cognitive abilities in the presence of AD pathology, “resistance” refers to avoidance of the pathology in the first place (5,6). These concepts, which are not mutually exclusive, have been tested in the sporadic form of the disease, given the causative genetic mutation in autosomal dominant AD (ADAD). Here, we describe investigations in both disease forms of the relationships between several personality and behavioral features associated with AD risk and presence of AD pathology. Such relationships, tested in asymptomatic individuals, might suggest sources of resistance pathway, thereby hinting at modifiable pathways to postpone manifestation of brain pathology.
In sporadic AD, as much as a third of AD risk appears to be related to modifiable factors such as level of education, depression, and cognitive or physical activity (1,7). Education and midlife cognitive activity have been associated with lower levels of pathology in the preclinical phase of the disease and with increased resilience to pathology in later stages (8,9). Neuropsychiatric symptoms like depression and apathy are known to increase over the course of the disease (10–12). While some such features are likely a consequence of the disease, midlife neuropsychiatric symptoms have been associated with increased AD risk in later life (13). Personality traits like neuroticism and conscientiousness have also been associated with cognitive decline and risk of sporadic AD (14,15). Admittedly, personality traits may change as a consequence of the disease process, but a recent study showed that personality traits in adolescence—a time when AD pathology is unlikely—are associated with incident dementia 54 years later (16). Furthermore, personality traits usually remain stable in the early stages of the disease (17).

Fewer studies have explored the associations between behavioral/personality features and AD risk in ADAD. Higher resilience has been noted in individuals having higher levels of education, using the estimated years to symptom onset as a proxy for disease severity (18). Less physical activity and lower levels of education have also been associated with increased AD pathology and cognitive decline in preclinical ADAD (19–21). While personality has been studied less in ADAD, neuropsychiatric symptoms such as depression and anxiety have been found to remain stable in asymptomatic individuals but to increase in individuals with cognitive impairment (22). When compared with noncarriers, asymptomatic ADAD mutation carriers have been found to exhibit fewer depressive symptoms (22).

During the presymptomatic phase of either disease, individuals remain cognitively normal despite their accumulation of AD pathological hallmarks, amyloid-β (Aβ) and tau proteins (23,24). This silent phase, which can span more than 2 decades, represents an ideal window of opportunity for preventive strategies (25). Given the complex etiology of AD, targeting multidomain factors is rapidly becoming the norm in preventive strategies (26). We therefore used multivariate analyses to investigate combinations of personality traits, neuropsychiatric symptoms, and cognitive lifestyle in relation to Aβ and tau deposition in cognitively normal older adults at increased risk of sporadic or autosomal dominant forms of AD (mutation carriers; for the latter, Aβ only). We expected to find similar associations in both disease forms, but perhaps weaker associations in ADAD, given the latter’s overwhelming genetic diathesis. We reasoned that discovery of such associations in the asymptomatic phase of the disease could suggest that preventive behavioral interventions may be useful in at-risk persons that are still free from pathology.

METHODS AND MATERIALS

Participants

We studied 232 cognitively unimpaired participants, including 115 individuals at risk of sporadic AD from the PRe-symptomatic EVAluation of Experimental or Novel Treatments for AD (PREVENT-AD) study and 117 asymptomatic individuals with ADAD from the Dominant Inherited Alzheimer Network (DIAN) study group. PREVENT-AD enrolls older adults having intact cognition but a parent or 2 siblings diagnosed with AD-like dementia, who are therefore at increased risk of sporadic AD (27). Participants were above 60 years of age, or between 55 and 59 if their age was fewer than 15 years from their parent’s age of symptom onset. Participants were free of major neurological and psychiatric diseases at enrollment. Inclusion criteria included intact cognition based on the Montreal Cognitive Assessment (score above 25) (28) and a 45-minute standardized neuropsychological evaluation using the Repeatable Battery for the Assessment of Neuropsychological Status (29). The cognitive status of individuals with questionnable neuropsychological status was reviewed in consensus meetings of neuropsychologists (including SV) and/or psychiatrists (including JCSB). Only participants with Aβ positron emission tomography (PET), tau PET, and data on behavioral factors were included, resulting in 115 participants (out of 324 active PREVENT-AD participants as of May 2019). The DIAN study group enrolls individuals over 18 years old with a family history of ADAD. We selected mutation carriers who were cognitively normal as evidenced by Clinical Dementia Rating (30) score of 0 and who had Aβ PET and behavioral data available. Those studied comprised 117 participants (85 PSEN1 mutation carriers, 17 PSEN2 mutation carriers, and 15 APP mutation carriers) out of 146 mutation carriers archived in the DIAN data freeze of May 2016.

Behavioral Factors

All participants filled out questionnaires to assess various behavioral factors plausibly related to AD risk (Supplementary Table S1). For ease of interpretation, we grouped these factors into 3 categories: “Big Five” personality traits (neuroticism, openness, extraversion, agreeableness, conscientiousness), neuropsychiatric symptoms (depression, anxiety, stress, apathy), and features of cognitive lifestyle (years of education, lifetime cognitive activity). In PREVENT-AD, all questionnaires were answered at home 6 months to a year before PET (mostly electronically, but 10% responded by paper version). Follow-up questionnaires were sent to participants every year or so, resulting in 3 time points for neuropsychiatric symptoms (2016, 2017, 2018) and 2 for personality (2016 and 2018). Intraclass correlation coefficients and their 95% confidence intervals, based on absolute agreement in 2-way mixed-effects models, were computed using SPSS, version 20 (IBM Corp., Armonk, NY) (31). Supplemental Figures S1 and S2 display correlations between these scores at the different time points.

In DIAN, all questionnaires were answered at the baseline visit, which also included Aβ PET. The DIAN personality questionnaire (International Personality Item Pool Representation of the Revised NEO Personality Inventory [IPIP-NEO-120]) (32) was more detailed than the Big Five Inventory used in PREVENT-AD and yielded scores on 30 personality facets along with the Big Five personality domains. The 30 facets were used only in complementary analyses.

Image Acquisition

PREVENT-AD participants underwent PET using [18F]NAV4694 to assess Aβ burden and florbetapir ([18F]AV1451) to assess
tau deposition. DIAN participants underwent Aβ PET only using Pittsburgh compound B (11C)PIB. A T1-weighted structural image was also acquired using a similar magnetization prepared rapid acquisition gradient-echo sequence in both studies (greater detail available in the Supplement).

Image Processing

Both PREVENT-AD and DIAN scans were processed locally using the same pipeline (see https://github.com/villeneuvelab/vip for more details and the Supplement for parameters used). Aβ and tau PET images were registered to the T1-weighted scan of each participant, which had been segmented with the Desikan-Killiany atlas using FreeSurfer version 5.3 (33). Images were then masked to remove the scalp and cerebrospinal fluid, to reduce contamination by non-gray and non-white matter voxels. In PREVENT-AD, PET images were smoothed with a Gaussian kernel of 6 mm. Standardized uptake value ratios (SUVRs) were obtained using the whole cerebellum as reference region for Aβ PET (34) and the inferior cerebellar gray matter for tau PET (35). DIAN PET images were smoothed with a Gaussian kernel of 8 mm. Standardized uptake value ratios were obtained using the whole cerebellum as reference region. Mean SUVR from the left and right hemispheres in each Desikan-Killiany region was used for further analyses. The frontal pole region was excluded owing to weaker registration to the structural scan. Only a subset of sensitive regions was included for each modality in the analyses. For Aβ, bilateral SUVRs in the lateral and medial prefrontal, parietal, lateral temporal, and cingulate cortical regions were included in multivariate analyses because these are key regions of Aβ deposit in the preclinical and clinical phases of AD (37,38). The weighted average across all these regions is referred to here as global Aβ index SUVR (38,39) and used in univariate analyses. For tau PET, bilateral SUVRs in the regions of Braak stages I (entorhinal cortex), III, and IV were included in the multivariate analysis since those stages capture regions up to early tau accumulation (40,41) (Supplemental Table S2). Average SUVR in separate Braak stages was also computed and used in univariate analyses. Braak stage II (hippocampus) was excluded, however, owing to signal contamination from the choroid plexus (42), and regions of Braak stages V and VI were also excluded, given that they represent later stages of AD progression (43,44).

Statistical Analyses

Univariate Analyses. We first estimated univariate parametric correlations between each individual behavioral feature and pathology. We used global Aβ index SUVR in both PREVENT-AD and DIAN. Tau SUVR in Braak stages I, III, and IV was used in PREVENT-AD. Also, to evaluate the extent to which behavioral features were related to one another, we calculated the parametric correlation between all factors. We considered p values < .05 significant. Associations surviving false discovery rate (FDR) of 5% are also reported to account for multiple comparisons.

Multivariate Analyses. The main statistical approach was partial least squares (PLS) analysis (45,46), implemented using PLS Software v6.15.1 (https://www.rotman-baycrest.on.ca/index.php?section=equvals84) on MATLAB (The MathWorks, Inc., Natick, MA) v2016a. This approach allowed investigation of relationships between combinations of behavioral factors and AD pathology across the brain. PREVENT-AD permitted 2 PLS analyses, relating these behavioral features with Aβ and tau independently. Two PLS analyses were also performed in DIAN: the primary analysis relating similar behavioral features with Aβ and a complementary one further detailing personality after including the 30 personality facets available exclusively in DIAN.

Figure 1 explains these analyses (greater detail is available in the Supplement). Briefly, PLS finds linear combinations of 2 sets of variables (organized in 2 matrices) that correlate
maximally with each other. The first matrix enters the behavioral factors in columns with entries corresponding to the score on the various questionnaires; the rows correspond to individual participants. The behavioral data were z scored columnwise since all questionnaires were on different scales. The second matrix contains either regional Aβ or tau SUVR in columns and rows corresponding to participants. The output from the PLS analyses are sets of latent variables relating behavioral features and AD pathology. The number of latent variables is equal to the smallest dimension of the matrices, and the latent variables correspond to each participant. Correlating these 2 scores across participants provided an estimate of the strength of the multivariate relationship between the behavioral and pathology features.

### Complementary Analyses
One complementary question is whether behavioral factors influence AD pathology, pathology influences behavioral factors, or these relationships are bidirectional. This question is particularly relevant for neuropsychiatric symptoms, inasmuch as education level and lifetime cognitive activity typically precede AD pathology and personality traits generally remain stable over time, even in individuals with AD-related cognitive impairment (15). Longitudinal PET scans will be needed to address this question more fully. Nonetheless, we sought to take advantage of 3-year follow-up for neuropsychiatric symptoms and evaluated whether Aβ (global Aβ index SUVR) and tau (entorhinal tau SUVR) were associated with change in neuropsychiatric symptom scores. To do this, we used linear mixed-effects models having random slope and intercept, in which a time-by-Aβ or time-by-tau SUVR interaction predicted longitudinal neuropsychiatric symptom scores. These mixed-effects analyses used the R package lme4 version 1.1–15 (Vienna, Austria).

### Table 1. Participants’ Demographics and Behavioral Features

<table>
<thead>
<tr>
<th>Demographics and Behavioral Features</th>
<th>PREVENT-AD (n = 115)</th>
<th>DIAN (n = 117)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Demographics</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age, Years</td>
<td>67.6 ± 5.0 (58.6 to 83.3)</td>
<td>34.6 ± 9.4 (18.0 to 61.0)</td>
</tr>
<tr>
<td>Estimated Years to Onset*</td>
<td>−5.7 ± 7.8 (−20.8 to 16.8)</td>
<td>−12.9 ± 8.0 (−31.5 to 11.8)</td>
</tr>
<tr>
<td>Gender, F/M, n (%)</td>
<td>86:29 (75%)</td>
<td>64:53 (55%)</td>
</tr>
<tr>
<td>APOE e4 Carriers, n (%)</td>
<td>44 (38%)</td>
<td>36 (31%)</td>
</tr>
<tr>
<td><strong>Behavioral Factors</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Global Aβ SUVR*</td>
<td>1.1 ± 0.3 (0.9 to 2.3)</td>
<td>0.9 ± 0.2 (0.8 to 1.6)</td>
</tr>
<tr>
<td>Tau Braak I SUVR</td>
<td>1.1 ± 0.1 (0.7 to 1.7)</td>
<td>–</td>
</tr>
<tr>
<td>Tau Braak III SUVR</td>
<td>1.2 ± 0.1 (0.8 to 1.7)</td>
<td>–</td>
</tr>
<tr>
<td>Tau Braak IV SUVR</td>
<td>1.1 ± 0.1 (0.9 to 1.6)</td>
<td>–</td>
</tr>
<tr>
<td>MMSE</td>
<td>28.8 ± 1.3 (24 to 30)</td>
<td>29.1 ± 1.2 (24 to 30)</td>
</tr>
<tr>
<td><strong>Neuropsychiatric Symptoms</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depression</td>
<td>1.3 ± 1.9 (0 to 10.0)</td>
<td>1.5 ± 1.8 (0 to 9.0)</td>
</tr>
<tr>
<td>Anxiety</td>
<td>2.1 ± 3.6 (0 to 18.0)</td>
<td>–</td>
</tr>
<tr>
<td>Stress</td>
<td>4.7 ± 5.2 (0 to 24.0)</td>
<td>–</td>
</tr>
<tr>
<td>Apathy</td>
<td>27.8 ± 6.2 (18.0 to 46.0)</td>
<td>–</td>
</tr>
<tr>
<td>NPI-Q</td>
<td>–</td>
<td>0.7 ± 1.8 (0 to 11.0)</td>
</tr>
<tr>
<td><strong>Personality</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Openness</td>
<td>38.9 ± 6.5 (21.0 to 50.0)</td>
<td>79.5 ± 11.8 (49.0 to 107.0)</td>
</tr>
<tr>
<td>Neuroticism</td>
<td>17.6 ± 6.1 (8.0 to 35.0)</td>
<td>60.1 ± 13.8 (31.0 to 94.0)</td>
</tr>
<tr>
<td>Conscientiousness</td>
<td>37.4 ± 5.4 (19.0 to 45.0)</td>
<td>96.0 ± 12.3 (67.0 to 120.0)</td>
</tr>
<tr>
<td>Agreeableness</td>
<td>39.2 ± 4.0 (26.0 to 45.0)</td>
<td>95.8 ± 10.2 (62.0 to 115.0)</td>
</tr>
<tr>
<td>Extraversion</td>
<td>26.7 ± 5.6 (14.0 to 40.0)</td>
<td>85.6 ± 12.1 (47.0 to 109.0)</td>
</tr>
</tbody>
</table>

Data presented as mean ± SD (range) unless noted otherwise.

Aβ, amyloid-β; DIAN, Dominant Inherited Alzheimer Network; F, female; M, male; MMSE, Mini-Mental State Evaluation; NPI-Q, Neuropsychiatric Inventory Questionnaire; PREVENT-AD, PRe-symptomatic Evaluation of Experimental or Novel Treatments for AD; SUVR, standardized uptake value ratio.

*For PREVENT-AD participants, data are sporadic estimated years to onset (data available for 111 participants). For DIAN participants, data are estimated years to onset (age of participant − age of the parent at symptom onset).

**[18F]NAV4694 is used in PREVENT-AD and [11C]PIB is used in DIAN.

*Personality traits are assessed with the Big Five Inventory in PREVENT-AD and the International Personality Item Pool Representation of the Revised NEO Personality Inventory in DIAN, and the 2 questionnaires have different scales.
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**RESULTS**

Sample characteristics are detailed in Table 1. Information on cognitive data in both cohorts is available in Supplemental Tables S3 and S4.

**Univariate Relationship Between Behavioral Features and Aβ and Tau**

In PREVENT-AD, only neuroticism was related to the global Aβ index SUVR, with higher scores on neuroticism related with higher Aβ deposition ($R = .21, p = .02$), but does not survive FDR correction; Supplemental Table S5). Tau SUVR in Braak I (entorhinal cortex) was related with different behavioral features (personality traits, apathy, and lifetime cognitive activity [$R = .21-.34$, $p < .001-.02$]), while only lifetime cognitive activity was related to tau SUVR in Braak III or IV (Supplemental Table S5). All associations with tau SUVR in Braak I survived FDR correction.

In DIAN, fewer behavioral features were available for analyses (8 rather than 11), and some of the questionnaires differed from those in PREVENT-AD (Supplemental Table S1). Higher level of education correlated with lower global Aβ index ($R = -.19, p = .04$, but does not survive FDR correction; Supplemental Table S5). Of note, mutation type had virtually no effect on behavioral features (the only difference being that PSEN2 mutation carriers had lower extraversion scores than PSEN1 carriers in post hoc testing, $p = .04$). There was also no difference on any behavioral features between asymptomatic mutation carriers and 127 noncarriers.

**Intercorrelation Among Behavioral Features**

Intercorrelations among behavioral features revealed associations between about half of the features in PREVENT-AD (Figure 2A). The neuropsychiatric symptoms were themselves intercorrelated, and neuropsychiatric symptoms were (unsurprisingly) associated mainly with higher neuroticism and lower extraversion. Furthermore, education, cognitive activity, and openness were positively correlated with one another. In DIAN, more years of education was also associated with increased openness, and intercorrelations were found between different personality traits (Figure 2B). These numerous intercorrelations suggest that a wide variety of behavioral features relate to one another, thus justifying our decision to investigate them in combination.

**Relationship of AD Pathology With Multidomain Behavioral Features**

In PREVENT-AD there was one significant latent variable relating behavioral features with Aβ ($p = .014$, 95% of the PLS variance being explained by this variable). Figure 3A displays the different weights of the behavioral features and brain regions that form this latent variable. A combination of lower neuroticism, anxiety, and apathy along with higher education and openness were the features that were most strongly associated with lower Aβ burden. All regions of the global Aβ index contributed to the relationship. The correlation between the weighted scores of the behavioral features and of regional Aβ pathology across participants was $R = .23, p = .013$, accounting for 5.3% of the Aβ variance.

The multivariate analysis with tau also revealed one latent variable relating behavioral features with regional tau SUVR ($p = .006$, 82% of the PLS variance was explained by this variable). Figure 3B displays the different weights of the behavioral features and brain regions forming this latent variable. Almost all behavioral variables contributed to this
relationship, with a combination of higher scores on openness and extraversion, higher cognitive activity, lower neuropsychiatric symptoms, and neuroticism being related to less tau burden. The top region related to behavioral features was the entorhinal cortex (Braak I), followed by others in the medial and lateral temporal lobe. Regions outside the temporal lobe did not contribute, which is in keeping with the known deposition pattern of tau in the asymptomatic phase of AD (40,47). The correlation between the weighted scores of the behavioral features and regional tau pathology across participants was $R = .29$, $p = .002$, accounting for 8.4% of variance explained.

In DIAN, one latent variable related behavioral features with Aβ ($p = .005$, 91% of the PLS variance explained; Figure 3C). More years of education and a lower score on the Neuropsychiatric Inventory Questionnaire were the factors that related most strongly to lower Aβ burden. All regions included in the global Aβ index contributed to this relationship. The correlation between weighted scores of the behavioral features and regional Aβ pathology across participants was $R = .26$, $p = .005$, accounting for 6.7% of variance explained. To obtain a more fine-grained picture of these associations in DIAN, the PLS was repeated, substituting the Big Five personality traits with 30 personality facets. Again, one latent variable ($p = .004$, 88% of PLS variance explained) related behavioral features and Aβ. Higher intellect (a facet of the openness trait), along with more years of education and a low score on Neuropsychiatric Inventory Questionnaire, were related to lower Aβ burden (Figure 4). The correlation between the weighted scores of the behavioral features and pathology was $R = .37$, $p < .001$, accounting for 14% of the variance.

**Stability Over Time of Behavioral Features in PREVENT-AD**

All analyses presented thus far included the behavioral feature assessments nearest in time to the PET scans. We also evaluated the stability of such self-reported questionnaire responses, taking advantage of the longitudinal assessment of 3 years for neuropsychiatric symptoms and 2 years for personality (Supplemental Figures S1 and S2). Education and lifetime cognitive activity were only assessed once as they are typically fixed. Overall, there was moderate stability of neuropsychiatric symptoms over 3 years (intraclass correlation coefficient between 0.55 and 0.73; Supplemental Figure S1) and, predictably, better stability of personality traits over 2 years (intraclass correlation coefficient between 0.76 and 0.81; Supplemental Figure S2). Using the 3-year data available on neuropsychiatric symptoms, we also found no apparent influence of the level of Aβ or tau on change of neuropsychiatric symptoms over time (Supplemental Table S6; only the relationship of tau and stress had a $p$ value of .03, but this did not survive correction for multiple comparisons).

**DISCUSSION**

It has been estimated that up to 35% of AD risk is modifiable by health and behavioral factors such as physical health,
Behavioral Factors Association With AD Pathology

![Behavioral Factors Association With AD Pathology](image)

**Figure 4.** Latent variable from partial least squares analysis relating personality facets and behavioral features with amyloid-β (Aβ) in Dominant Inherited Alzheimer Network (DIAN) study group. Result from the partial least squares analysis relating behavioral features including the 30 personality facets and Aβ pathology across brain regions in DIAN. Bar graphs represent the weight of each behavioral feature to the multivariate relationship. Confidence intervals are derived from bootstrap resampling. All brain regions included in the analysis are projected on the brain. Bootstrap ratios correspond to the importance of each region to the behavioral feature-pathology relationship. GDS, Geriatric Depression Scale; NPI-Q, Neuropsychiatric Inventory Questionnaire.

Psychological health, education, and cognitive activity (1,7). Beyond these factors, facets of personality, such as neuroticism (15), and other behaviors, such as sleep dysregulation (48), have also been associated with a risk of AD, suggesting that even more than 35% of AD risk may be modifiable. Working in the asymptomatic stage of the sporadic and autosomal dominant forms of AD, we tested whether combinations of multidomain behavioral features were related to AD pathology and to what extent. In cognitively unimpaired late-middle-aged individuals at increased risk of sporadic AD, several combinations of factors encompassing personality traits, neuropsychiatric symptoms, and cognitive lifestyle were related to Aβ and tau deposition in the brain. In asymptomatic ADAD mutation carriers, education and psychiatric symptoms were related to Aβ. Across analyses, the variance explained from behavioral feature–pathology relationships ranged from 5% to 14%. Although this might appear modest, reduction of AD risk factors by such percentages could have a major impact on future disease prevalence, preventing millions of cases (3).

In sporadic AD, personality traits have been described previously as being related to the incidence of dementia (1,15). Little was known, however, about associations with Aβ and tau pathology in the earliest phases of the disease (49,50). In PREVENT-AD, a higher score on neuroticism was among the key factors related to the presence of both pathologies. Our results are in accord with the aforementioned studies in which neuroticism, characterized by negative emotions (51), is the dominating trait associated with increased risk of AD. Neuropsychiatric symptoms—which are correlated with neuroticism—were also associated with Aβ and tau burden. Other personality traits such as openness and extraversion also related to tau pathology in both univariate and multivariate analyses.

Our results add to an abundant literature reporting increased prevalence of neuropsychiatric symptoms with disease progression (52–55) and suggest that neuropsychiatric features may be related to pathology even in cognitively normal individuals (56–58). Given that our findings are only correlational and that pathology accumulates over many years, reverse causality is also possible (i.e., that pathology has already affected the magnitude of neuropsychiatric symptoms even in cognitively unimpaired individuals). Neuropsychiatric symptoms are frequent in individuals with dementia (52,55), and at that late disease stage they are most certainly a consequence of the disease. Longer follow-up and longitudinal PET scans will be needed to clarify which behavioral features cause, and which are a consequence of, AD pathology. By contrast, given that personality traits are abiding characteristics of an individual, we postulate that they are probably true risk factors of the disease. Clarifying such relationships might help target the right factors at the optimal time for prevention.

In DIAN mutation carriers, the main factors related to Aβ deposition were fewer years of education, lower scores on the intellect personality facet, and higher neuropsychiatric symptom burden. Here, personality traits did not appear to be driving factors related to the pathology. The importance of personality traits in sporadic AD might be due to a lifelong effect of personality, which influences lifestyle choices and how one copes with situations throughout life, eventually affecting pathology accumulation in old age. DIAN mutation carriers, being much younger, may not exhibit such an effect of personality traits on Aβ burden. This idea remains in line with recent studies suggesting the influence of lifestyle factors such as physical activity and education on (later) AD progression in the presence of a fully penetrant genetic mutation (19).

Perhaps importantly, the current work assesses multiple behavioral features in the same analytic design. As shown in Figure 2, many behavioral features are, in fact, highly correlated. The net sum of these factors, rather than one factor alone, may therefore be associated with an altered risk of developing AD pathology. We included protective factors that might contribute to higher cognitive reserve or brain maintenance (4,59) but also risk factors that might contribute to cognitive debt. The concept of cognitive debt refers to the constellation of behaviors (mainly stress and neuropsychiatric symptoms) that increase individual risk to AD (60). As
postulated by this hypothesis, lower neuroticism and neuro-psychiatric symptoms might be a way to reduce vulnerability to Alzheimer’s dementia. Along with high cognitive reserve, modulating these risk factors might be important targets to resist pathology accumulation.

Other important limitations of this study include relatively modest sample sizes in the 2 samples. It will be important to test whether such findings generalize to populations without the added risk conferred by a family history of AD. Most participants were also highly educated, and it will be of interest to know which associations would still be found in individuals with less education. For example, certain associations between high school personality traits and dementia in late life have been reported as being stronger in individuals with higher socioeconomic status (16). Also, associations with openness (or intellect) and education could reflect an underlying relationship with different intelligence measurements (61,62), which, unfortunately, were not available in either cohort. Furthermore, in PREVENT-AD, behavioral and PET data were not collected at the same time. We did, however, show that the self-reported behavioral features had good stability over 2 to 3 years.

Given the failures of many clinical trials, new avenues are needed to prevent or slow AD progression. Multidomain lifestyle interventions have shown some promises in delaying cognitive decline. We suggest here that such interventions might also postpone accumulation of AD pathology in both sporadic and autosomal dominant forms of AD. In the former, behavioral interventions might focus on aspects of personality and/or emotional regulation, as those features were strongly related to both Aβ and tau deposition. Beyond this, acting on personality traits could have a positive impact on lifestyle changes (63). While more work is needed to understand the mechanisms by which behavioral features may influence AD risk, our results may suggest that personality, neuropsychiatric symptoms, and lifestyle features should be considered when assessing multidomain interventions to postpone the accumulation of AD pathology and its related clinical expression.

ACKNOWLEDGMENTS AND DISCLOSURES

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