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1 **Biological Control of Plant Diseases**

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8

9 **Abstract**

10

11 Biological control is the control of disease by the application of biological agents
12 to a host animal or plant that prevents the development of disease by a pathogen.

13 With regard to plant diseases the biocontrol agents are usually bacterial or
14 fungal strains isolated from the endosphere or rhizosphere. Viruses can also be
15 used as biocontrol agents and there is a resurgent interest in the use of bacterial
16 viruses for control of plant diseases. The degree of disease suppression achieved
17 with biological agents can be comparable to that achieved with chemicals. Our
18 understanding of the ways in which biocontrol agents protect plants from
19 disease has developed considerably in recent years with the application of
20 genomics and genetic modification techniques. We have uncovered mechanisms
21 by which biocontrol agents interact with the host plant and other members of
22 the microbial community associated with the plant. Understanding these
23 mechanisms is crucial to the isolation of effective biocontrol agents and the
24 development of biocontrol strategies for plant diseases. This review looks at
25 recent developments in our understanding of biocontrol agents for plant
26 diseases and how they work.

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28

29

30 **Introduction**

31

32 Plant diseases are a major constraint on crop production in all agricultural and
33 horticultural systems. All crops are susceptible to diseases caused by a variety of
34 pathogens (bacteria, fungi, and viruses). In general losses of crops due to disease
35 amount to 25% of world crop production per annum Lugtenberg (2015). Of
36 course, losses are not distributed evenly but in some cases may be much higher
37 resulting in loss of the entire crop. At the very least this can have severe
38 financial implications at the local, regional or national levels. At worst it can lead
39 to famine with considerable loss of life.

40

41 Management of plant diseases is a significant cost component in crop production.
42 Traditionally the approaches to dealing with disease in agricultural ecosystems
43 includes breeding resistant varieties of the crops species, hygiene to prevent the
44 spread of contaminated soil or seed, and fungicides to kill potentially infecting
45 fungi. However increasing concerns about the effects of fungicides in the
46 environment and residues in food have resulted in deregistration of a number of
47 fungicides. The need to replace these has increased interest in biological control
48 of plant diseases in recent years. Biological control is the suppression of disease
49 by the application of a Biocontrol Agent (BCA) usually a fungus, bacterium, or
50 virus, or a mixture of these to the plant or the soil. The BCA acts to prevent
51 infection of the plant by the pathogen, or establishment of the pathogen in the
52 plant. The main advantage of using a BCA is that they are highly specific for a
53 pathogen and hence are considered harmless to non-target species. Over the past
54 decade there have been many reports of the identification of effective BCAs for
55 fungal and bacterial diseases in crops and a number of BCAs are in commercial
56 production (Table 1). In recent years our understanding of how BCAs protect
57 the plant from infection has changed dramatically with the application of
58 genomics. In order to implement an effective biocontrol program it's essential to
59 understand how BCAs work to prevent disease development. The purpose of
60 this article is to review the various mechanisms by which BCAs protect plants
61 against pathogens.

62

63 **How effective is biocontrol**

64

65 The level of disease control achieved by application of BCAs to a crop can be
66 close to or equivalent to that achieved by application of a fungicide. Application
67 of a fungicide to *Phytophthora cactorum* infected apple resulted in 100% disease
68 suppression whilst application of various BCAs singly resulted in levels of
69 disease suppression between 79%-98% depending on the BCA (Alexander and
70 Stewart 2001) (Table 2). In another study application of a *Bacillus*
71 *amyloliquefaciens* BCA to mandarin fruit suppressed *P. digitatum* infection by
72 77% which compares to 96% after application of the fungicide imazalil (Table
73 2). The efficacy of a BCA can be enhanced by mixing with a fungicide provided
74 the fungicide does not adversely affect the BCA. Infection of strawberry by
75 *Botrytis cinerea* was reduced to low levels by application of a *Trichoderma*
76 *atroviridae* BCA, but was eliminated by application of the BCA with a fungicide
77 (Table 2). Interestingly in this case the fungicide alone was less effective than
78 the BCA alone. Nakayama and Sayama (2013) reported a similar enhancement
79 in disease control using a BCA-fungicide mix to inhibit powdery scab of potato
80 (Table 2). Where there are comparative results for disease suppression in
81 glasshouse and field trials, the degree of suppression tends to be lower in the
82 field trials e.g., in the study of Fu et al. (2010) the degree of suppression was 24%
83 lower in the field (Table 2). This is considered to reflect the more diverse
84 environment in the field. A number of studies have demonstrated that
85 biocontrol can also be used effectively against postharvest diseases (Table 2).

86

87 Some endophytes protect against multiple pathogens. An endophytic strain G3
88 with potential as a biocontrol agent was isolated from the stems of *Triticum*
89 *aestivum* L. It was classified by 16S rDNA sequencing as a member of *Serratia*.
90 Although strain G3 displayed a broad spectrum of antifungal activity *in vitro*
91 against a number of phytopathogens such as *Botrytis cinerea*, *Cryphonectria*
92 *parasitica*, *Rhizoctonia cerealis* and *Valsa sordida* it has not been tested for
93 disease suppression (Liu et al. 2010). A strain of *Bacillus pumilis* isolated from
94 the endosphere of poplar suppressed the growth of three pathogens *Cytospora*

95 *chrysosperma*, *Phomopsis macrospora* and *Fusicoccum aesculi* in greenhouse tests
96 (Ren et al. 2013).

97
98

99 **Host Genotype Effect**

100

101 One of the problems with biocontrol is the lack of consistency in disease
102 suppression by a BCA. Differences in host genotype contribute to differences in
103 responses to a BCA. In control of *Phytophthora meadii* infection of *Hevea*
104 *brasiliensis* by *Alcaligenes* sp the degree of control differed between two cultivars
105 of the host (Table 2) (Abraham et al. 2013). A cultivar effect was also observed
106 in studies on biocontrol of diseases in strawberry (Card et al. 2009) and pepper
107 (Lee et al. 2008). The specificity effect may be related to the production of plant
108 molecules that activate transcriptional activators of the LuxR family in the
109 bacterium. The products of the LuxR genes act as global regulators controlling
110 such processes as biofilm formation and antibiotic production among others.
111 Although LuxR regulators normally operate in quorum sensing systems whereby
112 bacteria communicate with each other, some such as the PsoR gene of *P.*
113 *fluorescens* (Subramoni et al. 2011) and the OryR gene of *Xanthomonas oryzae*
114 (Ferluga and Venturi 2009) respond to plant compounds thereby facilitating
115 plant-BCA communication. Alternatively communication could be mediated by
116 secondary metabolites produced by the BCA. Endophytes produce a large array
117 of different types of secondary metabolites many of which have not been
118 detected directly but have been inferred from genomic analysis (Brader et al.
119 2014). There are examples where the synthesis of secondary metabolites
120 stimulates changes in plant metabolite production and vice-versa (Ludwig-
121 Muller 2015).

122

123 **Mixtures of BCAs**

124

125 Several researchers have reported that using mixtures of BCAs has increased the
126 consistency of biocontrol across sites with different conditions. In studies on

127 infection of potato by *Phytophthora capsici* greater disease control was achieved
128 using a mixture of three bacterial BCAs compared to using the single strains (Kim
129 et al. 2008) (Table 2). Slininger et al. (2001) in their investigation into
130 postharvest dry rot of potato found that formulations of mixed BCAs performed
131 more consistently across 32 storage environments varying in cultivar, washing
132 procedure, temperature, harvest year, and storage time. Enhanced biocontrol
133 using mixtures of BCAs has been reported for control of late blight in potato
134 (Slininger et al. 2007), diseases of poplar (Gyenis et al. 2003), chilli
135 (Muthukumar et al. 2011), and cucumber (Raupach and Kloepper 1998; Roberts
136 et al. 2005). It is also possible that different mixtures may need to be used in
137 different climatic areas. Thus there is a need to identify a number of potential
138 biocontrol agents. Mixtures do not always give increased control. In some cases
139 there may be antagonism between the BCAs that results in reduced control
140 compared to single strains. In evaluating agents for control of fire blight in pear,
141 Stockwell et al. (2011) found that mixtures of *Pseudomonas fluorescens* A506 ,
142 *Pantoea vagus* C9-1 and *Pantoea agglomerans* Eh252 were less effective than the
143 individual strains. It was found that the *Pantoea* strains exert their effect
144 through the production of peptide antibiotics. In the mixture these were
145 degraded by an extracellular protease produced by *P. fluorescens* A506. Roberts
146 et al. (2005) have also reported antagonism between BCA strains. They
147 observed that populations of *Trichoderma virens* GL3 or GL321 were both
148 substantially reduced after co-incubation with *Bacillus cepacia* BC-1 or *Serratia*
149 *marcescens* isolates N1-14 or N2-4 in cucumber rhizospheres. These reports
150 highlight the importance of considering possible antagonism between strains
151 when developing a biocontrol formulation. Co-cultivation *in vitro* can sometimes
152 reveal inhibitory effects (Roberts et al. 2005) but not always. In the study by
153 Stockwell et al. (2011) antagonism between the species in the mixture would not
154 have been evident from co-cultivation of the three species, it would only have
155 been evident if the mixture was tested in a confrontation assay with the
156 pathogen.

157

158

159

160 **Where do BCAs come from.**

161

162 Most commonly BCAs are isolated by screening organisms from the rhizosphere
163 or endophyte population for inhibition of growth of the target pathogen *in vitro*.
164 Those that show inhibition are assessed further although it should be stressed
165 that *in vitro* inhibition is not always a successful indicator of a successful BCA as
166 there are other mechanisms of disease suppression (stimulation of host growth;
167 induction of host defence; occlusion of pathogen; competition for nutrients; toxin
168 inactivation) that do not involve growth inhibition, and there are other
169 characteristics required for a successful BCA such ability for mass production
170 and persistence under field conditions (Elliott et al. 2009; Martin et al. 2015;
171 Melnick et al. 2008). Prominent among those species of rhizosphere and
172 endophytic bacteria that are effective BCAs are the actinomycetes and species
173 from the genera *Pseudomonas* and *Bacillus*. Among the fungi that constitute
174 effective BCAs species of the genus *Trichoderma* are well represented (Table 2).
175 All of these are capable of synthesizing an array of secondary metabolites.

176

177 Actinomycetes make very good BCAs. Endophytic actinobacteria isolated from
178 healthy cereal plants were assessed for their ability to control fungal root
179 pathogens of cereal crops both *in vitro* and *in planta*. Thirty eight strains
180 belonging to the genera *Streptomyces*, *Microbispora*, *Micromonospora*, and
181 *Nocardiooidies* were assayed for their ability to produce antifungal compounds *in*
182 *vitro* against *Gaeumannomyces graminis* var. *tritici* (Ggt), the causal agent of take-
183 all disease in wheat, *Rhizoctonia solani* and *Pythium* spp. Spores of these strains
184 were applied as coatings to wheat seed, with five replicates (25 plants), and
185 assayed for the control of take-all disease in plantain steamed soil. The
186 biocontrol activity of the 17 most active actinobacterial strains was tested
187 further in a field soil naturally infested with take-all and *Rhizoctonia*. Sixty-four
188 percent of this group of microorganisms exhibited antifungal activity *in vitro*,
189 which is not unexpected as actinobacteria are recognized as prolific producers of
190 bioactive secondary metabolites. Seventeen of the actinobacteria displayed
191 statistically significant activity *in planta* against Ggt in the steamed soil bioassay.
192 The active endophytes included a number of *Streptomyces*, as well as

193 Microbispora and Nocardioideae spp. and were also able to control the
194 development of disease symptoms in treated plants exposed to Ggt and
195 Rhizoctonia in the field soil (Coombs et al. 2004; Costa et al. 2013; Doumbou et
196 al. 2001) .

197

198 Hypovirulent isolates of a pathogen species can also act as BCAs (Sneh 1998). A
199 naturally occurring hypovirulent isolate of *Phytophthora nicotianae* was found to
200 effectively control citrus root rot caused by *P. nicotianae* and *P. palmivora*
201 (Colburn and Graham 2007). In another study binucleate isolates of *Rhizoctonia*
202 *solani* were effective at controlling damping off diseases in pepper caused by
203 *Rhizoctonia solani* or *Ptyium ultimum* (Harris 2000). Hypovirulent isolates of
204 the Chestnut Blight disease pathogen *Cryphonectria parasitica* were widely and
205 successfully used to control the disease in chestnut trees (Nuss 2005).

206

207 Viruses as BCAs

208

209 Due to the paucity of effective bactericidal compounds for management of
210 bacterial phytopathogens there is renewed interest in the use of bacterial viruses
211 (bacteriophage or phage) as BCAs for control of bacterial diseases. The history
212 of phage use for management of plant diseases is reviewed in Jones et al. (2007).
213 Recent studies have demonstrated significant reduction in disease severity for a
214 range of pathogens including, *Agrobacterium*, *Xanthomonas*, *Ralstonia*
215 *solanacearum*, *Erwinia amylovora*, and *Streptomyces* on a variety of crops. The
216 advantages of using phage are: a) ease of production; b) high specificity for the
217 target organism; c) long shelf life. The phage can be grown in the field using an
218 avirulent form of the pathogen infected with the phage applied as a dressing to
219 the crop. The avirulent strain acts as a vehicle for production of the phage but is
220 not able to damage the crop (Diallo et al. 2011). In effect this creates a self-
221 perpetuating biocontrol system in the field. In the studies on the suppression of
222 *Ralstonia* wilt of tomato using phage, infective phage particles were detected
223 four months after treatment (Fujiwara et al. 2011) (Table 2). One problem
224 associated with the use of phage BCAs is the development of resistance in the

225 host bacterial population. The use of a cocktail containing a number of host
226 range mutants is recommended to overcome this. Such mutants can be evolved
227 in the lab (Jackson 1989). The persistence of phage BCAs in the field may be
228 enhanced by microencapsulation of the BCA in an inert polymer matrix and the
229 slow release of phage from this matrix (Choinska-Pulit et al. 2015; Vonasek et al.
230 2014).

231
232 Fungal viruses (mycoviruses) have also been used as BCAs. Mycoviruses are
233 present in all major taxa of fungi (Ghabrial et al. 2015; Nuss 2005) They do not
234 appear to have mechanisms of tissue infection but rather are transmitted by
235 hyphal anastomosis, and thus can only be exchanged between vegetatively
236 compatible strains. In the majority of cases infection does not appear to cause
237 any symptoms although in some cases mycovirus infection results in a
238 hypovirulent phenotype. The most famous example is the Chestnut Blight
239 pathogen *Cryphonectria parasitica* which has devastated chestnut populations in
240 the USA and Europe (Nuss 2005). Application of virus infected hypovirulent
241 strains to chestnut trees resulted in transmission of the virus to virulent strains
242 by hyphal anastomosis with attenuation of virulence and protection of the trees.
243 Whilst this strategy was successful in Europe, it did not work in the USA because
244 of vegetative incompatibility between the strains prevented transmission of the
245 virus to the pathogenic strains. Hypovirulence inducing mycoviruses with the
246 ability to infect host fungal tissue when applied externally without the need for
247 anastomosis have been identified in the fungal pathogens *Sclerotinia*
248 *sclerotiorum* and *Rosellinia necatrix*. These are likely to be particularly useful as
249 BCAs as their spread will not be limited by vegetative incompatibility (Ghabrial
250 et al. 2015).

251

252

253 **How do endophytes protect plants?**

254

255 *Stimulation of plant growth*

256

257 A common effect of the application of a rhizospheric or endophytic BCA to a plant
258 is accelerated growth of the plant. Many bacterial and fungal BCAs produce
259 analogues of plant growth regulatory hormones and volatile compounds that
260 stimulate growth (Harman et al. 2004; Taghavi et al. 2009). The growth increase
261 can be quite substantial. In one experiment inoculation of lettuce with growth
262 promoting strains of *Bacillus* resulted in a 30% increase in plant weight two
263 weeks after inoculation (Santoyo et al. 2012). Thus besides disease suppression,
264 another advantage of biocontrol is increased yield even in the absence of disease.
265 Volatiles such as 2,3-butanediol, acetoin, and aldehydes and ketones are
266 produced by bacteria and may play a part in promoting plant growth.
267 Inactivation of genes for synthesis of the volatiles 2,3-butanediol and acetoin in
268 the *B. subtilis* biocontrol strains BSIP1173 and BSIP1174 disrupted stimulation
269 of the host plant growth (Santoyo et al. 2012). Fungal BCAs also stimulate
270 growth of the host plant. *Trichoderma harzianum* produces a butenolide
271 metabolite called harzianolide that both stimulates growth and induces defence
272 mechanisms (Cai et al. 2013).

273

274 Analogs of plant hormones produced by endophytic bacteria not only promote
275 growth of the plants but they alleviate other stresses such as drought. For
276 example, abscisic acid and gibberellins produced by the bacterial endophyte
277 *Azospirillum lipoferum* have been shown to be involved in alleviating drought
278 stress symptoms in maize (Brader et al. 2014).

279

280 *Induction of Host Defence Mechanisms*

281

282 Another mechanism commonly associated with protection of plants by BCAs is
283 induction of the host defence pathways. This occurs as a result of the release of
284 elicitors (proteins, antibiotics and volatiles) by the BCA that induce expression of
285 the genes of the salicylic acid pathway or the jasmonic acid/ethylene pathway
286 (Nawrocka and Malolepsza 2013; Pieterse et al. 2014). A different defence
287 mechanism, Induced Systemic Resistance (ISR), characterised by broad spectrum
288 resistance against pathogens of various types as well as abiotic stresses is also

289 induced (An et al. 2010; Shores et al. 2010). Induction of ISR usually involves a
290 primed state for an enhanced reaction to a biotic or abiotic stimulus rather than
291 full induction (Conrath et al. 2006; Pieterse et al. 2014). Because this is not full
292 induction it is considered to require less energy than full induction and
293 consequently have less of a negative impact on growth (Perazzolli et al. 2011).
294 Bacterial volatiles have also been implicated in induction of systemic resistance
295 in the host plant via an ethylene dependent pathway (Kloepper et al. 2004). In
296 addition to volatiles ISR is induced by siderophores and cyclic lipopeptide
297 antibiotics (Jan et al. 2011).

298
299

300 *Secretion of polysaccharide degrading enzymes*

301

302 Secretion of a variety of polysaccharide degrading enzymes including chitinases,
303 glucanases, proteases and cellulases is a common feature of bacterial and fungal
304 BCAs (Jan et al. 2011; Quecine et al. 2008). These enzymes are capable of
305 degrading the cell walls of fungal (or oomycete) hyphae, chlamydo spores,
306 oospores, conidia, sporangia, and zoospores resulting in lysis and thus contribute
307 to the protection of the plant. The oligosaccharides released from degradation of
308 the fungal cell walls act as signaling molecules to induce the host defence
309 mechanisms. However the production of enzymes capable of degrading the
310 hyphal cell walls of pathogenic fungi *in vitro* does not constitute proof that these
311 enzymes are responsible for biocontrol activity *in planta*. Michelsen and
312 Stougaard (2011) showed that although *Pseudomonas fluorescens* In5 produces
313 chitinase and beta-1,3-glucanase the biocontrol activity exhibited by this strain is
314 not due to these enzymes but to the production of the non-ribosomal peptide
315 antibiotics nunamycin and nunapeptin (Michelsen et al. 2015). In other studies
316 Kim et al. (2008) found that bacterial chitinase production is not responsible for
317 biocontrol of phytophthora blight of pepper, whilst Worasatit et al. (1994)
318 showed that there was no relationship between the biocontrol activity of
319 *Trichoderma koningii* and the production of chitinase, glucanase, or cellulase by
320 the fungus. However, contrasting results were provided by Chernin et al. (1995)

321 who showed by gene inactivation that chitinase production is responsible for
322 biocontrol activity of *Enterobacter agglomerans*, and by Downing and Thomson
323 (2000) who transformed a *Pseudomonas* strain with a chitinase gene thus
324 creating a BCA.

325

326 *Production of antibiotics*

327

328 Many biocontrol bacteria and fungi produce multiple antibiotics (including
329 biosurfactants with antibiotic properties such as lipopeptides) that confer a
330 competitive advantage by eliminating other bacteria and fungi. Single strains
331 can produce multiple variants of each type (reviewed in Raaijmakers et al.
332 (2010) and Jan et al. (2011)). In addition to their antibiotic properties,
333 lipopeptides are important signalling molecules and affect processes such as
334 motility, induction of host plant defence mechanisms, and formation of microbial
335 biofilms on the inner and outer surfaces of plants. The fungus *Trichoderma*
336 which is widely used as a biocontrol agent and which forms the basis of several
337 commercial products for biocontrol (Table 1) also synthesizes an array of
338 secondary metabolites with antibiotic activity (Druzhinina et al. 2011). Among
339 these are the non-ribosomal peptides which form voltage dependent ion
340 channels in membranes; polyketides of unknown function; isoprenoid
341 derivatives that are highly fungitoxic and phytotoxic; and pyrones with
342 antifungal activity.

343

344 Various studies have attempted to provide evidence for a role for these
345 antibiotics in pathogen suppression by enhancing their synthesis or disrupting
346 the genes for their synthesis. Inactivation of antibiotic synthesis genes in various
347 species of *Pseudomonas*, or *Bacillus* has provided strong evidence for the role of
348 antibiotics in biocontrol by these species (Wu et al. 2015). Initial observations
349 showed that a tryptophan auxotrophic mutant of *P. aeruginosa* deficient in
350 phenazine synthesis was ineffective at suppressing infection of cocoyam by
351 *Pythium myriotylum* in contrast to the wild type strain which effectively
352 suppressed infection (Tambong and Höfte 2001). Disruption of rhamnolipid and

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355 phenazine synthesis genes in the species *Pseudomonas aeruginosa* and
356 *Pseudomonas chlororaphis* significantly reduced the ability of this species to
357 suppress *Verticillium microsclerotia*. However it did not completely remove the
358 suppression suggesting that there are other mechanisms of pathogen
359 suppression (Debode et al. 2007). Subsequent experiments in which the *darA*
360 and *darB* genes responsible for the biosynthesis of the antibiotic 2-hexyl, 5-
361 propyl resorcinol (HPR) in *Pseudomonas chlororaphis* were inactivated
362 confirmed the role of the antibiotic in antagonism (Calderon et al. 2013).
363 Similarly, gene disruption was used to provide evidence for roles for fengycin
364 (Yanez-Mendizabal et al. 2012) and iturin in biocontrol of peach and curcubit
365 diseases respectively by strains of *Bacillus subtilis* (Zerriouh et al. 2011) and of
366 iturin in biocontrol of fruit diseases by *Bacillus amyloliquefaciens* (Arrebola et al.
367 2010). More recent work suggests that different antibiotics from the same strain
368 interact synergistically to achieve disease suppression. A *Pseudomonas* strain
369 producing phenazine and two types of cyclic lipopeptide antibiotics (sessilins
370 and orfamides) suppressed infection of Chinese cabbage by *R. solani* AG2-1
371 (Olorunleke et al. 2015). Although production of phenazine alone was sufficient
372 to achieve disease suppression, in the absence of phenazine both sessilins and
373 orfamides were required. In suppression of root rot of bean caused by *R. solani*
374 4-HG1 both phenazines and either sessilins or orfamides were required. This
375 study also demonstrates that the lack of an effect upon inactivation of the
376 synthesis of a single antibiotic in a biocontrol strain does not preclude a role for
377 that antibiotic in biocontrol.

378
379 Despite the evidence produced by the above studies showing that antibiosis is
380 the basis for biocontrol activity in a number of species, a number of studies have
381 produced conflicting results. Poritsanos et al. (2006) reported that a *GacS*
382 mutant of *P. chlororaphis* was greatly reduced in the production of phenazine
383 and showed ten fold reduction in biocontrol efficacy. . However, the *GacS*
384 mutation also affected the production of protease, lipase, and biofilm formation
385 all of which would contribute to biocontrol efficacy. The biocontrol yeast
386 *Pseudozyma flocculosa* (syn: *Sporothrix flocculosa*) is an effective biocontrol
387 agent for control of powdery mildew (Bélanger et al. 2012). The yeast produces

388 a powerful antibiotic that induces a rapid cell collapse in the pathogen. Despite
389 the initial indications that the antibiotic is responsible for the biocontrol activity,
390 it turned out not to be involved. In contrast to experiments showing that
391 disruption of antibiotic synthesis genes in species of *Pseudomonas* and *Bacillus*
392 reduced biocontrol efficacy (Arrebola et al. 2010; Calderon et al. 2013; Debode et
393 al. 2007; Tambong and Höfte 2001; Yanez-Mendizabal et al. 2012), Mazzola et al.
394 (2007) found that disruption of synthesis of the cyclic lipopeptide antibiotic,
395 massetolide in *Pseudomonas fluorescens* by Tn5 insertion did not affect
396 biocontrol activity. The demonstration of the involvement of antibiosis as a
397 mechanism of biocontrol is complicated by the plethora of antibiotics produced
398 by individual bacterial strains. In addition, many antibiotic synthesis genes are
399 only synthesized at high cell density, or when the bacterium forms part of a
400 biofilm (Rutledge and Challis 2015). Many such cryptic genes have been
401 detected in the genomes of filamentous fungi, in particular *Aspergillus* spp. and
402 actinomycetes. Demonstrating the involvement of antibiosis in biocontrol is
403 further complicated by the fact that antibiotics often have additional roles other
404 than inhibiting the growth of microorganisms. Surfactins for example are
405 important in motility of cells on the plant surfaces, in triggering the formation of
406 biofilms and induction of host defences. Thus inactivation of cyclic lipopeptide
407 antibiotic genes leads not only to decreased antibiosis, and impaired host
408 induction but also decreased ability to form biofilms (Raaijmakers et al. 2010).
409 Thus antibiotics act in multiple ways to suppress pathogens.

410

411 *Biofilms*

412

413 On plant surfaces bacteria rarely exist as single cells, but form large multicellular
414 assemblages called biofilms (Bogino et al. 2013; Flemming et al. 2016). Biofilms
415 typically ~~contain~~ multiple bacterial, or mixed bacterial and fungal species
416 (Flemming et al. 2016; Frey-Klett et al. 2011). In a biofilm the cells are covered
417 by a matrix that protects them from desiccation, UV, predation, and bactericidal
418 compounds such as antibiotics. The matrix consists of soluble gel forming
419 ~~polysaccharides, protein, lipid and DNA as well as insoluble amyloids, fimbriae,~~

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422 pili and flagella and is permeated by channels that act as a circulatory system for
423 exchange of nutrients, water, enzymes, signalling molecules and removal of toxic
424 metabolites. Biofilms are complex sorbent systems with both anionic and
425 cationic exchangers, which means that a very wide range of substances can be
426 trapped and accumulated for possible consumption by cells in the biofilm. The
427 nutrient capture efficiency of the matrix exceeds that of free living cells
428 (Flemming et al. 2016). Not only nutrients, but also toxic substances, can
429 accumulate in biofilms by binding to the matrix. In this way the matrix soaks up
430 toxic substances that would otherwise be inhibitory to the cells. These
431 substances are either retained in the matrix until it decomposes, or released
432 from the matrix into the water phase and exuded from the matrix. Biofilm
433 formation also facilitates the exchange of genetic information between cells.
434 Conjugation has been shown to be 700 times more efficient in biofilms than in
435 free-living cells (Flemming et al. 2016). Biofilms aid in plant protection by
436 preventing access to the surface of the plant by the pathogen, and by the
437 production of antibiotics, many of which are only produced when growing in a
438 biofilm. Just as biofilms may aid the survival and proliferation of biocontrol
439 species on plant surfaces, so may they aid the survival and proliferation of
440 pathogenic species (Morris and Monier 2003). Additionally, cell wall degrading
441 enzymes secreted by the pathogen bind to the biofilm matrix leading to
442 increased heat tolerance and protection against enzymatic degradation
443 (Flemming et al. 2016).

444

445 Biofilm formation initiates with the aggregation of cells on the plant surface a
446 process that is triggered by the secretion of AHLs signaling molecules by
447 neighbouring cells. The aggregation is facilitated by components such as
448 surfactin which modify the surface properties to enhance motility and adhesins
449 (Bogino et al. 2013; Flemming et al. 2016). Once aggregation has initiated the
450 cells synthesize the components for the matrix.

451

452 *Competition for nutrients*

453

454 Competition for nutrients on, or proximal to the plant surface (rhizosphere) is
455 another mechanism used to protect plants from pathogens. BCAs compete for
456 sugars on the leaf surfaces or root exudates in the rhizosphere. These same food
457 sources are required for initial establishment of the pathogen prior to infection.
458 By utilising these food sources the BCA prevents establishment of the pathogen
459 (Card et al. 2009; Ellis et al. 1999). For these reasons hypovirulent variants of
460 the pathogen make effective BCAs. They occupy the same niches as the
461 pathogen, utilise the same nutrients, and can occupy entry points to the plant
462 tissues that would be used by the pathogen thereby preventing infection by the
463 pathogen (Sneh 1998). Biocontrol species are able to sequester iron for their
464 own use by the production of iron binding siderophores. This reduces the
465 availability of iron to other organisms such as pathogens (Santoyo et al. 2012).
466 Because bacterial siderophores have a higher affinity for iron than fungal
467 siderophores, they are effective at depriving fungi of iron (Jan et al. 2011).
468

469 *Inactivation of pathogen phytotoxins.*

470
471 Many plant pathogens produce phytotoxins that contribute to pathogenicity by
472 disrupting process in the host plant (Strange 2007). These toxins either act as
473 enzyme inhibitors (HC toxin of *Helminthosporium carbonum*), interfere with
474 membrane function (syringomycin of *P. syringae*), or prevent induction of host
475 defences (coronatine of *P. syringae*). BCAs can protect plants from phytotoxins
476 by inactivating them or preventing their production. The potent BCA
477 *Burkholderia helea* PAK1-2 prevents synthesis of the phytotoxin tropolone by
478 the rice pathogen *Burkholderia plantarii* (Wang et al. 2016). A biocontrol strain
479 of *Bacillus mycoides* inactivates the toxins thaxtomin A(1) and B(2) produced by
480 the potato common scab pathogen *Streptomyces scabei* (King et al. 2000). The
481 rice sheath blight pathogen *R. solani* produces a host specific toxin, the RS toxin
482 that is part of it's pathogenicity. Known biocontrol strains of *T. viridae* that
483 produce an alpha-glucosidase that inactivates the toxin have been isolated
484 (Shanmugam et al. 2001). The alpha glucosidase is different from other known
485 alpha-glucosidases and is specific for the toxin. Strains of *Fusarium* and
486 *Trichoderma* capable of inactivating the toxins Eutypine, 4-

487 hydroxybenzaldehyde, and 3-phenyllactic acid produced by the pathogens
488 causing Eutypa dieback and esca disease, two trunk diseases of grapevine (*Vitis*
489 *vinifera*) have been isolated (Christen et al. 2005).

490
491

492 **Genetically Modified BCAs**

493
494 Techniques for genetic engineering of all organisms have been developed to a
495 high degree of precision and have been applied to the improvement of strains of
496 bacteria, and fungi for industrial processes. These techniques can be applied to
497 improve the efficacy of BCAs. In one experiment the transfer of a chitinase gene
498 from *Serratia* to a *Pseudomonas* endophyte created a strain with a greatly
499 increased ability to suppress *R. solani* infection of bean (Downing and Thomson
500 2000). Similarly the addition of a glucanase gene to *Trichoderma* resulted in a
501 strain that secreted a mixture of glucanases and showed greatly enhanced
502 protection against the pathogens *Pythium*, *Rhizoctonia*, and *Rhizopus* (Djonovic et
503 al. 2007). Zhou et al. (2005) assembled a 2,4-diacetylphloroglucinol (2,4-DAPG)
504 biosynthesis locus *phlACBDE* cloned from strain CPF-10 into a mini-Tn5
505 transposon and introduced into the chromosome of the non 2,4-DAPG producing
506 strain *P. fluorescens* P32. The resultant strains provided significantly better
507 protection of wheat against take-all caused by *Gaumannomyces graminis* var
508 *tritici* and tomato against bacterial wilt caused by *Ralstonia solanacearum*. In
509 spite of the results of these studies these newly created BCAs are subject to the
510 regulations that govern the use of organisms that are genetically modified
511 through the use of recombinant DNA. Given the stiff opposition that has faced
512 the use of transgenic plants and the even greater difficulties of containment
513 faced with genetically modified microorganisms it is unlikely that BCAs created
514 by recombinant DNA technology will be approved for general use in the near
515 future.

516
517 A more realistic approach would be to use non-recombinant DNA technology to
518 enhance BCAs. Clermont et al. (2011) used genome shuffling to generate

519 improved biocontrol strains of *Streptomyces melanosporofaciens* EF-76. Two
520 rounds of genome shuffling resulted in the isolation of four strains with
521 increased antagonistic activity against the potato pathogens *Streptomyces scabies*
522 and *Phytophthora infestans*. Chemical mutagenesis has been used to enhance
523 biocontrol activity, e.g., nitrosoguanidine mutagenesis of *Pseudomonas*
524 *aurantiaca* B-162 resulted in the isolation of a strain with threefold elevated
525 levels of phenazine production and enhanced biocontrol activity (Feklistova and
526 Maksimova 2008). Marzano et al. (2013) isolated strains of *T. harzianum* with
527 greatly enhanced biocontrol activity after UV mutagenesis. Because the genetic
528 techniques used in these studies do not involve recombinant DNA, they simply
529 mimic what happens naturally they do not fall under the regulations governing
530 the use of genetically modified organisms and hence they should be more
531 acceptable to being used for disease control. However one of the potential
532 problems with such agents is that aside from the desired mutation there may be
533 additional mutations in other genes that can result in undesirable consequences..
534 More recently developed techniques of genome editing can overcome these
535 limitations. Using tools such as Crispr/Cas we can with great precision introduce
536 mutations into specific locations in the genome with great efficiency (Barrangou
537 and van Pijkeren 2016). An additional advantage is that mutations can be
538 induced in multiple genes simultaneously and this will be an advantage is
539 identifying the role of different genes in biocontrol.

540

541 **Conclusions**

542

543 The traditional method of searching for a BCA is based on the assumption that
544 the BCA will directly antagonise the pathogen either by antibiotic production or
545 predation. Such antagonism is detected by confrontation assays on agar
546 medium. As we have seen there are multiple mechanisms by which a BCA may
547 protect plants from pathogens, and different BCA's may use different
548 combinations of these, or may use different mechanisms under different
549 circumstances. Only some of these would be detected by confrontation assays.
550 Others require communication between the BCA and the host and other

551 endophytes or growth of the BCA in biofilms. It is apparent that the
552 confrontation assays are an inadequate screening method as they do not take
553 into account all mechanisms of antagonism, and do not replicate the
554 environment in which the BCA must function. They therefore identify only a
555 subset of possible BCAs. It is considered that the use of inappropriate screening
556 methods is a major contributor to the failure of biocontrol strategies (Pliego et al.
557 2011). Screening for BCAs must be done with an *in planta* assay or an assay with
558 tissue explant. With the continued application of genomics to identification of
559 genes responsible for maintaining the endophytic state it is possible that we will
560 be able to identify effective BCAs based on a genetic profile (Benítez and
561 McSpadden Gardener 2009). In addition, gene identification opens up
562 possibilities for genetic modification so that instead of screening for new BCAs
563 we simply make new ones by modification of pre-existing ones.

564

565 Having identified a suitable BCA the assumption is that it can be produced in
566 liquid culture and used as a seed dressing, soil drench or foliar spray. What is
567 crucial to effective disease control is the persistence of the BCA. It has to
568 compete with other microbial species in the rhizosphere, endosphere and/or
569 phyllosphere so that it can establish and offer protection over a reasonable
570 timeframe. In this regard the method of production is crucial as it determines
571 the type of propagules (spores, conidia, vegetative cells) produced and thus the
572 shelf life, and persistence of the product in the environment (Bisutti et al. 2015;
573 Hanitzsch et al. 2013; Kakvan et al. 2013; Mocellin and Gessler 2007).

574

575 Despite the fact that a lot of organisms with biocontrol potential have been
576 identified against a large number of pathogens there have been relatively few
577 developed commercially. To remedy this and take full advantage of the benefits
578 in biological control the research focus needs to shift from identification of
579 antagonistic organisms towards production, formulation and delivery.

580

581

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Table 1. Examples of biological control agents in commercial production.

BIOCONTROL AGENT	CROP	SUPPLIER	COUNTRY
<i>Ampelomyces quisqualis</i> M-10	Powdery mildews	EcoGen	USA
<i>B. subtilis</i> MB1600	Fungal pathogens of cotton, Large seeded legumes Soybeans	Beker Underwood	USA
<i>B. subtilis</i> MB1600 + Rhizobium	Fungal pathogens of soybeans, peanut	Beker Underwood	USA
<i>Bacillus amyloliquefaciens</i> GB99+ <i>B. subtilis</i> GB122	Bedding plants in potting mixes	Gustafson, Inc.	USA
<i>Bacillus lichenformis</i> SB3086	Turf Grass, Sclerotinia	Novozymes Biologicals, USA	USA
<i>Bacillus pumillus</i> GB34	Soybean fungal diseases	Gustafson, Inc.	USA
<i>Bacillus subtilis</i> GB03	Cotton, legume fungal diseases	Gustafson, Inc.	USA
<i>Bacillus subtilis</i> GB03, other <i>B. subtilis</i> <i>B. lichenformis</i> , <i>B. megaterium</i>	Fungal pathogens of greenhouse and nursery plants.	Growth Products Ltd	
<i>Bacillus subtilis</i> QST 713	Vegetables, Fruits	AgraQuest	USA
<i>Coniothyrium minitans</i>	Root rot	Prophyta Biologischer	Germany
<i>Coniothyrium minitans</i>	Root rot	Bioved	Hungary
<i>Escherichia coli</i> phage	Bacterial pathogens of fruit and vegetables	Intralytix	USA
<i>Fusarium oxysporum</i> non- pathogenic	Wilt	S.I.A.P.A.	Italy
<i>Fusarium oxysporum</i> non- pathogenic	Wilt	Natural Plant Protection	France
<i>Gliocladium catenulatum</i>	Vegetables, Fruits	ArgaQuest	
<i>Gliocladium catenulatum</i>	Root rot wilt	Verdera	Finland
<i>Listeria monocytogenes</i> phage	Bacterial pathogens of fruit and vegetables	Micreos	The Netherlands

<i>Listeria monocytogenes</i> phage	Bacterial pathogens of fruit and vegetables	Intralytix	USA
<i>P. fluorescens</i> A506, and 1629RS <i>P. syringae</i> 742RS	Certain fruits, almond, potato, tomato	Frost Technol Corp.	
<i>Pseudomonas aureofaciens</i> Tx-1	Fungal o pathogens of turf Grass	Turf Science Laboratories	
<i>Pseudomonas chlororaphis</i> 63-28	Wilt diseases of ornamentals and vegetables in GH	Turf Science Labs	
<i>Pseudomonas syringae</i>	Pome fruit, citrus, cherries, potatoes	JET Harvest Solutions	
<i>Pseudozyma flocculosa</i>	powdery mildew	Plant Products	Canada
<i>Pythium oligandrum</i>	Root rot	Bioreparaty	Czech Republic
Salmonella phage	Bacterial pathogens of fruit and vegetables	Intralytix	USA
<i>Streptomyces griseoviridis</i>	Vegetables, Fruits	ArgaQuest	
<i>Streptomyces griseoviridis</i> K61	Field ornamental, vegetable fungal pathogens	AgBio	
<i>T. harzianum</i>	Grey mold	Makhteshim Chemical Works	Israel
<i>T. harzianum</i> ATCC20476		Binab	Sweden
<i>Trichoderma polysporum</i> ATCC20475			
<i>T. harzianum</i> T-22	Root rot	Bioworks	USA
<i>Trichoderma harzianum</i>	Vegetables, Fruits	ArgaQuest	
<i>Trichoderma harzianum</i>	Root rot	Efal Agri	Israel
<i>Trichoderma</i> spp	Root rot wilt	Binab	Sweden
<i>Trichoderma</i> spp.	Root rot wilt	Bioplant	Denmark
<i>Trichoderma</i> spp.	Root rot	Agrimm Technologies	New Zealand
<i>Trichoderma virens</i> GL-21	Root rot	Certis	USA

<i>Trichoderma viride</i>	Root rot wilt	Ecosense Laboratories	India
<i>Xanthomonas campestris</i> phage	Bacterial pathogens of fruit	Omnilytics	USA
<i>Pseudomonas syringae</i> phage	and vegetables		

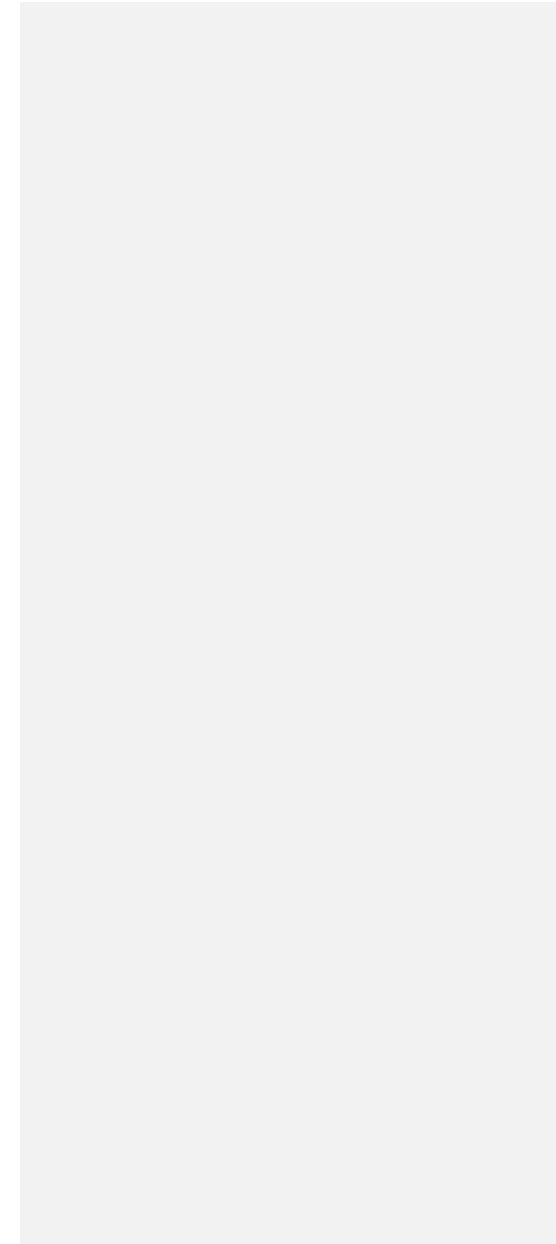


Table 2. Suppression of pathogens on various host species by biological and chemical control agents.

PLANT HOST	PATHOGEN	DISEASE CONTROL AGENT	YEAR	DEGREE OF CONTROL	ASSAY TYPE	SOURCE
Apple	<i>Phytophthora cactorum</i>	<i>Flavobacterium</i>		79%	GH	(Alexander and Stewart 2001)
Apple	<i>Phytophthora cactorum</i>	<i>Oidiodendron</i>		85%	GH	(Alexander and Stewart 2001)
Apple	<i>Phytophthora cactorum</i>	<i>Microsphaeropsis</i>		98%	GH	(Alexander and Stewart 2001)
Apple	<i>Phytophthora cactorum</i>	<i>Trichoderma harzianum</i>		89%	GH	(Alexander and Stewart 2001)
Apple	<i>Phytophthora cactorum</i>	<i>Trichoderma koningii</i>		93%	GH	(Alexander and Stewart 2001)
Apple	<i>Phytophthora cactorum</i>	<i>Paecilomyces</i>		93%	GH	(Alexander and Stewart 2001)
Apple	<i>Phytophthora cactorum</i>	Metalaxyl + Mancozeb		100%	GH	(Alexander and Stewart 2001)
Banana	<i>Pseudocercospora musae</i>	<i>Bacillus subtilis</i> B106		72%	GH	(Fu et al. 2010)
Banana	<i>Pseudocercospora musae</i>	<i>Bacillus subtilis</i> B106		48%	F	(Fu et al. 2010)
Chinese cabbage	<i>Plasmodiophora brassica</i>	<i>B. subtilis</i>		>80%	F	(Peng et al. 2011)
Chinese cabbage	<i>Plasmodiophora brassica</i>	<i>Gliocladium catenulatum</i>		>80%	F	(Peng et al. 2011)
<i>Hevea brasiliensis</i> RRII 105	<i>Phytophthora meadii</i>	<i>Alcaligenes</i> sp EIL-2		63%	GH	(Abraham et al. 2013)
<i>Hevea brasiliensis</i> RRIM 600	<i>Phytophthora meadii</i>	<i>Alcaligenes</i> sp EIL-2		30%	GH	(Abraham et al. 2013)
mandarin fruit	<i>Penicillium digitatum</i>	<i>B. amyloliquefaciens</i> HF-01		77%	PH	(Hao et al. 2011)
mandarin fruit	<i>Penicillium digitatum</i>	Imazalil		96%	PH	(Hao et al. 2011)
Pepper	<i>Phytophthora capsici</i>	single bacterium		32-73%	F	(Kim et al. 2008)
Pepper	<i>Phytophthora capsici</i>	mix of 3 bacteria		99%	F	(Kim et al. 2008)
Pepper	<i>Phytophthora capsici</i>	<i>B. subtilis</i> R33		87%	F	(Lee et al. 2008)
Pepper	<i>Phytophthora capsici</i>	<i>B. subtilis</i> R13		71%	F	(Lee et al. 2008)
Potato	<i>Fusarium sambucinum</i>	<i>Serratia plymuthica</i> 5-6		75%	PH	(Gould et al. 2008)
Potato	<i>S. subterranea</i>	<i>Aspergillus versicolor</i> lm6-50		70%	T	(Nakayama and Sayama 2013)
Potato	<i>S. subterranea</i>	<i>Aspergillus versicolor</i> lm6-50 + fluazinam		93%	T	(Nakayama and Sayama 2013)

Strawberry cv Yolo	<i>B. cinerea</i> LU829	<i>Trichoderma atroviridae</i> LU132	2004	77%	F	(Card et al. 2009)
Strawberry cv Pajero	<i>B. cinerea</i> LU829	<i>Trichoderma atroviridae</i> LU132	2004	88%	F	(Card et al. 2009)
Strawberry cv Pajero	<i>B. cinerea</i> LU829	Fenhexamide	2004	71%	F	(Card et al. 2009)
Strawberry cv Pajero	<i>B. cinerea</i> LU829	<i>Trichoderma atroviridae</i> LU132 + Fenhexamide	2004	100%	F	(Card et al. 2009)
Tomato	<i>Ralstonia solanacearum</i>	Phage PhiRSL1		100%	P	(Fujiwara et al. 2011)

*Assay Type: F, field trial; GH, greenhouse; P, pot; PH, post-harvest; SD, seed dressing; TC, tissue culture