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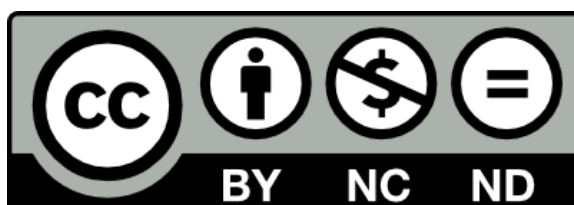
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1 **Minimum tillage unpuddled transplanting: An alternative crop establishment**  
2 **strategy for rice in conservation agriculture cropping systems**

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11 **Abstract**

12 Adoption of conservation agriculture (CA) has been hampered in puddled rice-based  
13 cropping systems. In this study, we developed a method for transplanting rice (*Oryza*  
14 *sativa* L.) with minimal soil disturbance (referred to as minimum tillage unpuddled  
15 transplanting) that can expand the range of situations where CA could be practiced  
16 in rice-based cropping systems. A field experiment was conducted over three years  
17 at two sites with unpuddled and puddled rice comparisons in each monsoon season.

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18 In addition, transplanted rice was grown in three seasons on unpuddled or fully  
19 puddled soils in a total of 27 farmers' plots in north-west Bangladesh. In each year  
20 and season, minimum tillage unpuddled conditions were established by strip tillage  
21 and compared with single pass shallow tillage or bed formation, and with the  
22 conventional soil puddling and transplanting. Unpuddled transplanting by any of the  
23 three single-pass soil disturbance operations had no negative effect on rice yields  
24 across seasons and years. The minimum soil disturbance in strip tillage did not  
25 impede transplanting of rice or increase the labour costs of transplanting compared  
26 to puddled transplanting except in the farmers' plot of the first season. All single-pass  
27 and minimum soil disturbance tillage operations reduced cost of production, and  
28 increased the gross margin of rice relative to conventional puddling and  
29 transplanting. Minimum tillage unpuddled transplanting reduced the time taken for  
30 land preparation and crop establishment and decreased the number of irrigation  
31 events required to saturate the soil. Minimum tillage unpuddled transplanting  
32 appears to be a feasible approach for establishment of wetland rice in CA systems.

33 **Keywords:** aman; bed planting; boro; minimum tillage; single pass shallow tillage;  
34 strip tillage.

## 35 **1. Introduction**

36 Various forms of conservation agriculture (CA) are now being practiced in over 157  
37 million ha globally (Kassam, 2014) but mostly in large mechanized farms in rainfed  
38 and supplementary irrigation areas. There is much less application of CA in rice-  
39 based systems which support predominantly smallholder farms (Johansen et al.,  
40 2012). The common rice establishment method in Asia involves mostly hand

41 transplanting following full tillage and soil puddling. Soil tillage and puddling facilitate  
42 rice establishment by increased soil water retention, control of weeds and increased  
43 availability of many nutrients. However, soil puddling for rice transplanting: destroys  
44 soil aggregates; breaks capillary pores; disperses clay particles that can form an  
45 impermeable clayey layer on the surface of coarse-textured soil; creates a plow pan  
46 (compacted layer) that impedes root penetration and causes water-logging for  
47 following crops. Ploughing the dried puddled layer produces large clods in finer  
48 textured soils that limit seed soil contact unless repeated cultivation occurs before  
49 seeding following crops (Anonymous 2012a). A diagnostic survey conducted in  
50 several rice-wheat areas in South Asia by Fujisaka et al. (1994) reported low wheat  
51 (*Triticum aestivum* L.) yields in a rice-wheat system, mainly because of deterioration  
52 of soil structure and the development of sub-surface hardpans. Continuation of soil  
53 puddling for rice transplanting will negate the benefits of minimum tillage in other  
54 crops in the rotation as is reported for the rice-wheat system (Singh et al., 2011).

55 Direct seeding with minimum tillage is an option for rice establishment that eliminates  
56 soil puddling. However, risks of severe weed infestation (Singh et al., 2011), bird  
57 damage, low productivity, uncertain and erratic rainfall (Singh et al., 2011), insecure  
58 irrigation water supply, and high irrigation water requirement during early seedling  
59 stage are the major reasons hindering the replacement of puddled rice transplanting  
60 by direct seeding rice in most rice growing areas (Farooq et al., 2011). Furthermore,  
61 germination failure and seedling mortality are significant additional reasons for non-  
62 adoption of direct-seeded rice establishment in cool season sub-tropical and high  
63 altitude rice (e.g., cool season irrigated rice (boro rice) in Bangladesh (Rashid et al.,  
64 2009).

65 Conservation agriculture helps farmers to reduce production costs while improving  
66 soil health, crop diversity and timeliness of cultivation (Johansen et al., 2012).  
67 Successful development of two-wheel tractor (2-WT) based implements like the  
68 Versatile Multi-crop Planter (VMP) for zero tillage, strip tillage, minimum tillage and  
69 bed planting have created new avenues for the pursuit of CA in rice-based  
70 smallholder farming systems that are common in South Asia (Haque et al., 2011).  
71 However, implementation of CA in the whole cropping sequence is hampered until  
72 there is a suitable alternative to transplanting of rice crops into fully puddled soils or  
73 a more reliable alternative to direct seeding.

74 The production costs for the transplanted boro (growing season from mid December  
75 to -mid April) and aman rice (from mid June to November) (monsoon) rice cropping  
76 pattern increased by about 55 % in Bangladesh during 1996–2006 (BRRI, 2007a,b)  
77 due to increased wages and of the input prices including fertilizer, irrigation water,  
78 and pest control. Scarcity of farm labor and tillage for land preparation and puddled  
79 rice transplanting have emerged in recent times as a serious constraint for timely  
80 transplanting of rice in many parts of S Asia and SE Asia. Hobbs et al. (2002)  
81 described the emerging issues of sustainability of rice-wheat systems and stressed  
82 the need to improve water-use efficiency, soil structure, and weed management  
83 against the backdrop of increasing scarcity of labor and water. Bhuiyan et al. (2004)  
84 reported that the net profit from rice cultivation was static or had declined in some  
85 cases over the period which can be attributed largely to rising costs of crop  
86 production. Hence there are compelling reasons for decreasing the costs of rice  
87 production in South Asia and South-East Asia and preliminary evidence that

88 unpuddled transplanting of rice seedling could provide such benefits (Johansen et  
89 al., 2012).

90 While soil tillage and puddling is conventional practice before transplanting rice  
91 seedlings, there is evidence that rice can be transplanted into unpuddled soils.  
92 Several minimum soil disturbing options could be used to establish unpuddled  
93 transplanting of rice: single-pass shallow tillage (SPST); strip tillage (ST); and; bed  
94 formation (Johansen et al., 2012). The SPST method involves full surface soil  
95 disturbance to 4-6 cm depth and full residue incorporation in a single pass dry tillage.  
96 Hence it reduces the number of tillage operations and depth of tillage compared to  
97 conventional puddling but does not apply the principles of CA, namely minimum soil  
98 disturbance and crop residue retention. Nevertheless, the shallow soil tillage may be  
99 sufficient for weed control and unpuddled transplanting of rice seedlings (Ladha et  
100 al., 2009). Strip tillage involves disturbance of a slot up to 6 cm deep and 4-6 cm  
101 wide, covering the equivalent to 15-25 % of the soil surface. Hence this can be  
102 considered a minimum tillage, residue retention system compatible with CA. Rice  
103 seedlings can be transplanted into the wetted disturbed slot. With permanent beds, a  
104 narrow strip can also be disturbed on top of the bed and seedlings transplanted into  
105 the slot after wetting up the soil. In this system, 16-25 % of the soil surface is  
106 disturbed. While these minimum tillage systems have been well tested for a range of  
107 upland and irrigated crops (Johansen et al., 2012; Islam et al., 2010), their relative  
108 suitability for unpuddled transplanted rice was unknown.

109 In this study we tested the hypothesis that unpuddled rice could be effectively  
110 established and achieve satisfactory yield when soils were saturated following  
111 minimum tillage. Preliminary trials gave encouraging results on water saving and

112 cost reduction with unpuddled transplanting in raised beds, strip tillage, and with  
113 SPST without any yield penalty (Haque et al., 2009). Minimum tillage unpuddled rice  
114 establishment was evaluated over three years' in on-farm experiments in two  
115 locations of north-west Bangladesh. Experiments were carried out in farmers' fields  
116 in three rice growing seasons to evaluate the novel establishment methods for rice  
117 by unpuddled transplanting on raised beds, and following strip tillage or SPST.

## 118 **2. Materials and Methods**

### 119 2.1. Experiment 1

120 Three consecutive years' of aman rice establishment during 2011, 2012, and 2013  
121 was examined at two long-term sites at Durgapur (24°28 N, 88°46 E) and Godagari  
122 (24°31 N, 88°22 E) upazila of Rajshahi district in north-west Bangladesh. The  
123 cropping rotations were aman rice – lentil (*Lens culinaris* Medik.) – mungbean  
124 (*Vigna mungo*) for Durgapur site and aman rice – wheat (*Triticum aestivum*) –  
125 mungbean in Godagari site and the sequences were repeated each year. For all  
126 three seasons, aman rice was grown as a rainfed crop, however, irrigation was  
127 applied if necessary to keep at least 2-3 cm of standing water. The Durgapur site  
128 occurs in agro-ecological zone 11 known as the High Ganges River Floodplain, and  
129 Godagari site is located in agro-ecological zone 26 known as High Barind Tract  
130 (FAO-UNDP, 1988). The average annual rainfall is 1200 mm of which 80 % falls  
131 during June to September (Mazid et al., 2002). The average minimum temperature is  
132 11°C in January and the mean maximum is 25.8-32.1°C in July to December  
133 (Anonymous, 2012b). Soil samples were collected from the experimental site in four  
134 sub-plots to the depth of 15 cm. The soil of the Durgapur site was alluvial with: 32 %

135 sand, 52 % silt, 16 % clay; the bulk density was 1.54 to 1.70 (g/cm<sup>3</sup>); pH was 7.81;  
136 organic C was 0.61 %; total N was 0.074 %; available P was 73 mg/kg, and;  
137 exchangeable K was 16 mg/kg. The Godagari site contained: 16 % sand, 66 % silt,  
138 18 % clay. The bulk density was 1.41, 1.44 and 1.52 (g/cm<sup>3</sup>) at 0-5, 5-10 and 10-15  
139 cm soil depth; pH was 6.30; organic C was 0.73 %; total N was 0.095 %.

140 Both trials were arranged in the randomized complete block design. The tillage  
141 treatments were strip tillage (ST), bed planting (BP) and conventional tillage (CT).  
142 Each treatment was replicated four times and each plot size was 105 m<sup>2</sup>. Rice  
143 seedling establishment treatments were as follows:

144 i. Traditional puddling (CT): In all three years, the land preparation was done by  
145 locally hired 2 wheel tractor (2-WT) using two rotary tillage passes in dry land and  
146 two additional rotary tillage passes in inundated field condition two days after  
147 irrigation application followed by 3-4 leveling passes prior to transplanting. Two-three  
148 seedlings per hill were transplanted manually in 25 cm spaced rows with 20 cm hill  
149 spacing.

150 ii. Strip tillage (ST): In 2011, 2-4 cm wide and 4-5 cm deep tilled zones were made  
151 (that preserved about 80 % of untilled soil) in untilled flat land by locally made tools  
152 after heavy seasonal rainfall; the following two years 2012 and 2013, the land was  
153 fully undisturbed as the surface soil was soft enough to transplant the rice seedling in  
154 untilled and unpuddled condition. Two-three rice seedlings per hill were transplanted  
155 manually at 25 cm between row and 20 cm between hills.

156 iii. Bed formed (BP): All three years, 2-4 cm wide and 4-5 cm deep tilled zones were  
157 made manually on both edges of the 58 cm-wide bed (center to center) by locally



158 made tools, followed by reshaping the beds after heavy seasonal rainfall. Two-three  
159 seedlings per hill were transplanted manually at a spacing of 20 cm apart from hill on  
160 top of the bed that accommodated two rows on top of the beds.

161 At the Durgapur site, 24- and 25-day-old seedlings of hybrid rice cv. Tej and ACI 1  
162 were transplanted in the aman season of 2011 and 2012, respectively; whereas, the  
163 20-day-old seedling of high yielding rice cv. BINAdhan 7 was transplanted in 2013.  
164 At the Godagari site, the 30- and 33-day-old seedlings of the high yielding rice cv.  
165 Sorna and BRRIdhan 51 were cultivated in the aman season of 2011 and 2012,  
166 respectively; and 25-day-old seedlings of cv. BINAdhan 7 were used in 2013.

167 The recommended fertilizer application (BRRI, 2004) and crop management  
168 practices were followed in all crop seasons for all experiments. The total basal  
169 fertilizer dose ( $\text{kg ha}^{-1}$ ) for aman season was 27 N, 10 P, 30 K, 5 S, 2 Zn and 0.6 B;  
170 and for boro season rice it was 34 N, 15 P, 30 K, 8 S, 2 Zn and 0.6 B. Basal  
171 fertilizers were applied as urea or diammonium phosphate, triple superphosphate,  
172 muriate of potash, gypsum, and zinc sulfate which was broadcast before the last two  
173 tillage operations in case of CT; before the single pass operation of SPST; and for  
174 the BP and ST, the basal fertilizers were banded at the time of land preparation. The  
175 top dressings of urea fertilizers, at 75 and 58  $\text{kg ha}^{-1}$  for boro and aman rice,  
176 respectively, were applied at 12-15 days after transplanting (DAT), 27-30 DAT, and  
177 55-60 DAT in all three seasons.

178 The aman rice in Godagari and Durgapur was harvested during late October to mid-  
179 November. No economics data from Experiment 1 are reported in this paper.

## 180 2.2. Experiment 2

181 Three consecutive seasons of on-farm trials were conducted during 2009 and 2010  
182 in fields of Durgapur upazila, Rajshahi. Boro rice (December to April-May) was  
183 grown as an irrigated crop in 2009 in eight farmers' fields, and in 2010 on nine  
184 farmers' fields; and aman season (July to November–December) rice was grown  
185 mostly as a rainfed crop in 10 farmers' fields during 2009. The 20- and 35-day-old  
186 seedlings of cv. BRRIdhan28 were transplanted during aman and boro seasons,  
187 respectively. The average plot sizes were 394, 1143, and 993 m<sup>-2</sup> in boro season of  
188 2009, aman season of 2009 and boro season of 2010, respectively.

189 The tillage treatments were arranged in a randomized complete block design  
190 considering each farmer's field as a replicate for all tillage types. All of the farmers'  
191 fields for each season were located in the same village within a 1 km radius. The  
192 experimental area in each farmer's field had a similar history and crop management  
193 practice with similar soil type. Treatments consisted of four rice establishment  
194 options as follows:

195 i. Traditional puddling (CT): The land preparation was done by locally hired 2-WT  
196 using two rotary tillage passes in dry land and two additional rotary tillage passes in  
197 inundated field condition two days after irrigation application followed by 3-4 leveling  
198 passes prior to transplanting. Two-three seedlings per hill were transplanted  
199 manually in rows with hill spacing of 20 cm x 20 cm.

200 ii. Single pass shallow tillage (SPST): Land preparation was done by the locally hired  
201 VMP in a single pass tillage operation (Haque et al., 2011) in dry land conditions to  
202 finely till the soil up to 6 cm (Johansen et al., 2012). Irrigation water was applied after

203 tillage to inundate the field for 24 hrs before 2-3 rice seedlings per hill were  
204 transplanted manually at a row and hill spacing of 20 x 20 cm.

205 iii. Bed formed (BP): In dry land, the 58 cm (centre to centre) beds were formed by  
206 locally hired VMP in a single pass operation (Haque et al., 2011). The irrigation water  
207 was applied to inundate the beds for 24 hrs before transplanting 2-3 seedlings per  
208 hill. Seedlings were manually transplanted at a spacing of 20 cm between hills on top  
209 of the bed that accommodated two rows.

210 iv. Strip tillage (ST): In dry land, 5-7 cm deep and 4-6 cm wide tilled zones (that  
211 preserved about 70 % undisturbed soil) were made by locally hired VMP in a single  
212 pass operation (Haque et al., 2011) then the plots were inundated for 24 hrs. The  
213 distance between each strip was 20 cm. Two-three seedlings per hill were  
214 transplanted manually at the row and hill spacing of 20 cm x 20 cm.

215 All three seasons, each farmer raised their own rice seedlings in separate plots using  
216 farmer's-preserved cv. BRRIdhan28 seeds. The rice seedlings were transplanted  
217 during 25-30 January, 2009 for boro season of 2009; 25-29 June for aman season of  
218 2009; and 05-12 February for boro season of 2010.

219 Fertilizer dose and application methods were same as Experiment 1. In all three  
220 years, the basal fertilizers were applied as urea or diammonium phosphate, triple  
221 superphosphate, muriate of potash, gypsum, and zinc sulfate which was broadcast  
222 before the last two tillage operations in case of CT; 12 to 24 hours prior to rice  
223 seedling transplanting in case of ST; and 12-24 hours prior to repairing of the beds.  
224 The basal fertilizers were banded under ST at the time of land preparation. The top

225 dressing of urea fertilizers, at a rate 50 - 65 kg ha<sup>-1</sup> for aman rice was applied at 12-  
226 15 days after transplanting (DAT), 20-30 DAT, and 50-60 DAT in all three years.

### 227 2.3. Irrigation water management

228 In boro season, the irrigation was applied from transplanting to tillering stage. The  
229 plots were initially supplied with 2-3 cm standing water at each of the irrigation  
230 events, and at the later stage 5 cm of standing water was supplied up to grain filling  
231 stage. In all treatments, the irrigation water was re-applied after disappearance of  
232 standing water and the first signs of hair-line cracks in the surface soil. The number  
233 of irrigation applications in each treatment was recorded. The aman season mostly  
234 depended on rain water, however, irrigation was applied if necessary to keep at least  
235 2-3 cm of standing water. The total amount of water applied was computed as the  
236 sum of water received through irrigation and rainfall.

### 237 2.4. Crop protection

238 In each season, two insecticide applications were used to protect the crop from stem  
239 borer, brown plant hopper, rice bug, etc. Non-selective herbicide glyphosate was  
240 sprayed at 1.85 kg a.i. ha<sup>-1</sup> in the field two days prior to final land preparation. For  
241 post transplanting weed control, the herbicide Pretilachlor at 450 g ha<sup>-1</sup> was applied  
242 3 to 5 days after transplanting of rice seedlings, followed by hand weeding at 25 to  
243 30 and 35 to 40 days, in the case of aman and boro rice, respectively.

### 244 2.5. Crop harvest

245 The boro season rice crops from on-farm trials were harvested during 12-17 May in  
246 2009 and 15-22 May in 2010; and the aman season rice of on-farm trials were  
247 harvested during 12-16 October, 2009.

## 248 2.6. Economic analysis

249 In Experiment 2, data on labour required were recorded for land preparation,  
250 irrigation, and herbicide or insecticide application. Other inputs and labour uses of  
251 on-farm trials were considered as constant for all treatments. Total hours (h) required  
252 to complete each operation in a particular treatment plot was recorded using a stop  
253 watch and converted to person-day ha<sup>-1</sup> considering 8 hours as equivalent to one  
254 person-day and the daily labour wage (mean from 2008 to 2011) was Taka 120 (US\$  
255 1.9) per person day<sup>-1</sup>.

256 Each of the treatments of Experiment 2 was evaluated based on total variable cost,  
257 gross return, and gross margin. Total variable cost was calculated considering the  
258 land preparation cost and labour use. Gross return was calculated by multiplying the  
259 amount of produce (grain and straw) by its corresponding price at harvest.

## 260 2.7. Statistical analysis

261 Data on grain, straw, and yield components of rice; and labour use and irrigation  
262 frequency were analyzed as a two-way analysis of variance (season by tillage type)  
263 with MSTAT-C. Duncan's multiple range test (DMRT) was applied using the same  
264 program and was used at the P<0.01 level to test the differences among the  
265 treatment means.

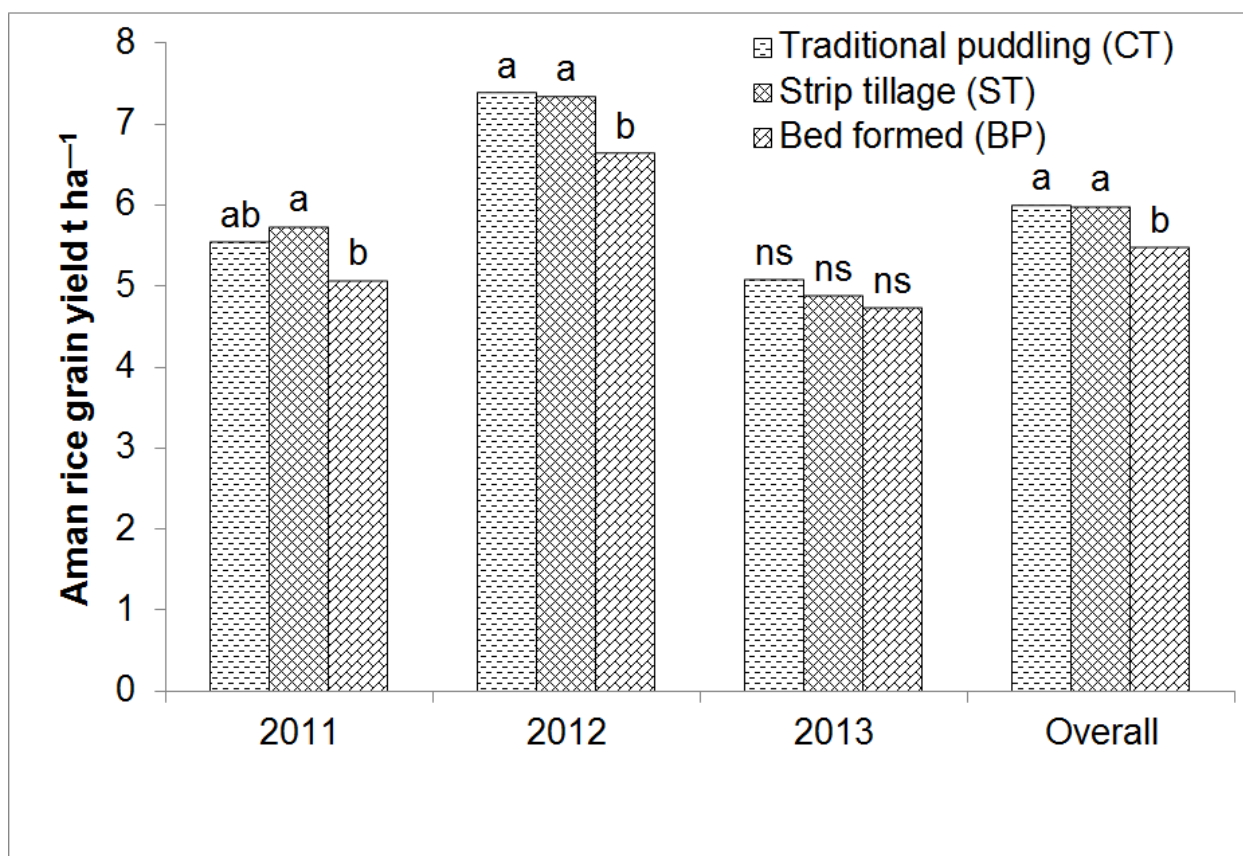
## 266 **3. Results**

267 3.1. Grain yield and yield contributing characters

268 3.1.2. Experiment 1

269 Across three aman seasons of 2011, 2012, and 2013; 5.97 t ha<sup>-1</sup> of rice grain yield  
270 was recorded in Durgapur site which was statistically greater than at the Godagari  
271 site (5.65 t ha<sup>-1</sup>). Across both of the locations in 2011, the highest rice grain yield  
272 was recorded from ST and CT (5.72 t ha<sup>-1</sup> vs. 5.53 t ha<sup>-1</sup>; P<0.05); and both were  
273 significantly higher than BP (5.06 t ha<sup>-1</sup>) (Figure 1).

274 In 2012, higher rice grain yields were recorded for CT (7.38 t ha<sup>-1</sup>) and ST (7.33 t  
275 ha<sup>-1</sup>), and both were significantly higher than BP (6.63 t ha<sup>-1</sup>) (Figure 1). In 2013,  
276 no significant variation on rice grain yield was recorded among the tillage treatments  
277 (Figure 1).



278

279 Figure 1. Effect of tillage on aman rice grain yield over the period, Rajshahi  
 280 (combined of both Durgapur and Godagari sites), Bangladesh. Symbols are as  
 281 follows: Dashed Horizontal, Outlined Diamond, and Diagonal Brick bars indicate the  
 282 rice grain yield  $t\ ha^{-1}$  under Traditional puddling (CT), Strip tillage (ST), and Bed  
 283 formed (BP), respectively.

284 Among the tillage treatments at Durgapur and Godagari, the mean rice grain yield  
 285 across three years for CT ( $5.99\ t\ ha^{-1}$ ) and ST ( $5.97\ t\ ha^{-1}$ ) was significantly  
 286 ( $P < 0.05$ ) higher than BP ( $5.47\ t\ ha^{-1}$ ) (Figure 1).

287 3.1.1. Experiment 2

288 The highest tiller number ( $539\ m^{-2}$ ) at 80 days after transplanting (DAT), grain yield  
 289 ( $5.53\ t\ ha^{-1}$ ), and harvest index (50 %) were recorded in the boro season of 2009,

290 followed by boro season of 2010, and then aman season of 2009 (Table 1). Higher  
291 panicle number and grain mass were obtained in the two boro seasons compared to  
292 the aman season. The straw yield in the boro season of 2010 was 5.76 t ha<sup>-1</sup> and  
293 statistically similar to the boro season of 2009 but was reduced by about 20 % in the  
294 aman season of 2009 (Table 1). Among the seasons, the non-bearing tillers were  
295 significantly higher in boro season of 2009 compared to other two seasons (Table 1).  
296 All tillage methods produced about 5 t of grain yield ha<sup>-1</sup> when averaged across  
297 seasons with no significant effect of tillage method (Table 2).  
298



299 **Table 1. Mean effects of seasons on rice growth and yield and economic**  
 300 **returns (Values are averaged across tillage types: only the main effect of**  
 301 **season was significant, P < 0.01).**

| Parameters                                     | Seasons          |                  |                  | CV% |
|--|------------------|------------------|------------------|-----|
|  | <u>Boro</u> 2009 | <u>Aman</u> 2009 | <u>Boro</u> 2010 |     |
| Non-bearing tiller (number m <sup>-2</sup> ) § | 115a             | 97b              | 101b             | 20  |
| Panicles (number m <sup>-2</sup> ) §           | 441a             | 370b             | 429a             | 8   |
| 1000-grain mass (g) §                          | 21.53a           | 19.95b           | 21.20a           | 4.3 |
| Grain yield (t ha <sup>-1</sup> ) §            | 5.53a            | 4.01c            | 5.33b            | 6.7 |
| Gross return (US\$ ha <sup>-1</sup> ) §        | 1627a            | 1015b            | 1659a            | 6.7 |
| Gross margin (US\$ ha <sup>-1</sup> ) §        | 1394a            | 778b             | 1407a            | 8.0 |

302 §Significant; <sup>NS</sup> Non-significant. In a row, means followed by a common letter(s) are  
 303 not significantly different at 1 % level by Duncan's Multiple Range Test.

304

305 **Table 2. Mean effect of tillage types on grain yield and economic return of rice**  
 306 **(the main effect of tillage was significant, P < 0.01 for gross margin only) from**  
 307 **on-farm trials.**

| Parameters  | Tillage treatments     |                                      |               |               |
|---|------------------------|--------------------------------------|---------------|---------------|
|   | Traditional<br>puddled | Single<br>pass<br>shallow<br>tillage | Bed<br>formed | Strip tillage |
| Grain yield (t ha <sup>-1</sup> ) <sup>NS</sup>     | 4.91                   | 4.98                                 | 5.03          | 4.92          |
| Gross return (US\$ ha <sup>-1</sup> ) <sup>NS</sup> | 1416                   | 1436                                 | 1454          | 1428          |
| Gross margin (US\$ ha <sup>-1</sup> ) <sup>§</sup>  | 1122b                  | 1209a                                | 1240a         | 1201a         |

308 <sup>§</sup>Significant; <sup>NS</sup> Non-significant. In a row, means followed by a common letter(s) are  
 309 not significantly different at 1 % level by Duncan's Multiple Range Test.

310

311 In boro season of 2009, statistically similar tiller numbers at 80 DAT were recorded in  
312 the case of the SPST (566 tillers m<sup>-2</sup>), CT (552 tillers m<sup>-2</sup>), and ST (547 tillers m<sup>-2</sup>);  
313 which were significantly higher than in BP (493 tillers m<sup>-2</sup>) (Table 3). In aman season  
314 2009, significantly lower numbers of tillers were reported in case of traditional  
315 puddled (443 tillers m<sup>-2</sup>) and BP (473 tillers m<sup>-2</sup>), compared to SPST (465 tillers m<sup>-</sup>  
316 <sup>2</sup>) and ST (491 tillers m<sup>-2</sup>). In boro season 2010, the tiller number at 80 DAT was  
317 significantly lower (490 tillers m<sup>-2</sup>) in BP compared to ST (Table 3).

318 **Table 3. On-farm trials' interaction effect of season vs. tillage type on rice yield and yield contributing characters.**

| Treatments              | Tiller<br>number<br>at 80<br>DAT<br>(m <sup>-2</sup> ) <sup>§</sup> | Rice<br>grain<br>yield<br>(t ha <sup>-1</sup> ) <sup>§</sup> | Straw<br>yield (t<br>ha <sup>-1</sup> ) <sup>§</sup> | Harvest<br>Index<br>(%) <sup>§</sup> | Land<br>preparati<br>on cost<br>(US\$<br>ha <sup>-1</sup> ) <sup>§</sup> | Transpl<br>anting<br>labour<br>(numbe<br>r ha <sup>-1</sup> ) <sup>§</sup> | Transpla<br>nting<br>labour<br>cost<br>(US\$<br>ha <sup>-1</sup> ) <sup>§</sup> | Weedin<br>g<br>labour<br>(person<br>-days<br>ha <sup>-1</sup> ) <sup>§</sup> | Weedin<br>g<br>labour<br>cost<br>(US\$<br>ha <sup>-1</sup> ) <sup>§</sup> | Total<br>variabl<br>e cost (<br>US\$<br>ha <sup>-1</sup> ) <sup>§</sup> |
|-------------------------|---|--|--|--------------------------------------|--|--|---|--|---|---|
| <u>Boro</u> 2009 x SPST | 566a  | 5.58   | 5.65ab   | 50.1ab                               | 32.75e   | 52.2b  | 92.16b  | 53cde  | 93cde   | 218ef   |
| <u>Boro</u> 2009 x CT   | 552ab   | 5.40   | 5.64ab   | 49.3bcd                              | 88.24b   | 48.3bc   | 85.37bc   | 54cd   | 96cd  | 270c  |
| <u>Boro</u> 2009 x BP   | 493de   | 5.56   | 5.72ab   | 49.6abc                              | 3743c  | 50.9bc   | 89.71bc   | 55cd   | 96cd  | 224de   |
| <u>Boro</u> 2009 x ST   | 547abc  | 5.59   | 5.31bc   | 51.0a                                | 3296e  | 60.0a  | 105.79a   | 46e  | 82e   | 221def  |
| <u>Aman</u> 2009 x SPST | 465ef   | 4.08   | 4.26d  | 49.0b-e                              | 3493d  | 45.4cd   | 80.12cd   | 65a  | 114a  | 229de   |
| <u>Aman</u> 2009 x CT   | 443f  | 3.93   | 4.16d  | 48.6b-e                              | 88.24b   | 53.1b  | 93.68b  | 63ab   | 111ab   | 293b  |
| <u>Aman</u> 2009 x BP   | 473ef   | 4.07   | 4.47d  | 47.6ef                               | 37.81c   | 42.8d  | 75.79d  | 50de   | 89de  | 202f  |
| <u>Aman</u> 2009 x ST   | 491de   | 3.94   | 4.95c  | 44.4g                                | 32.54e   | 52.7b  | 92.96b  | 55cd   | 96cd  | 222de   |
| <u>Boro</u> 2010 x SPST | 524bcd  | 5.28   | 5.76a  | 47.9def                              | 33.69de  | 59.6a  | 105.12a   | 56bcd  | 99bcd   | 238d  |

|                       |       |      |        |          |         |       |         |       |        |       |
|-----------------------|-------|------|--------|----------|---------|-------|---------|-------|--------|-------|
| <u>Boro</u> 2010 x CT | 516cd | 5.39 | 5.51ab | 49.49abc | 110.29a | 59.8a | 105.50a | 59abc | 103abc | 319a  |
| <u>Boro</u> 2010 x BP | 490de | 5.45 | 5.83a  | 48.28c-f | 38.06c  | 42.8d | 75.49d  | 58a-d | 102a-d | 215ef |
| <u>Boro</u> 2010 x ST | 553ab | 5.21 | 5.93a  | 46.79f   | 33.25e  | 60.3a | 106.41a | 56bcd | 99bcd  | 238d  |

319 <sup>§</sup>Significant. In a column, means followed by a common letter(s) are not significantly different at 1 % level by Duncan's Multiple  
320 Range Test.  
321 CT = Traditional puddling; SPST = Single pass shallow tillage; BP = Bed formed; ST = Strip tillage

322 Tiller numbers were significantly higher in ST (123 tillers m<sup>-2</sup>) followed by SPST (112  
323 tillers m<sup>-2</sup>), CT (100 tillers m<sup>-2</sup>), and BP (83 tillers m<sup>-2</sup>), respectively (Table 2). The  
324 highest (437 m<sup>-2</sup>) panicle number was obtained from BP and the lowest (404 m<sup>-2</sup>) in  
325 CT and that was statistically similar to SPST (406 m<sup>-2</sup>) and ST (407 m<sup>-2</sup>) treatments  
326 (data not shown). The thousand grain weight was also higher (21.4 g<sup>-1000</sup>) in the BP  
327 rice grain which was 2.4, 4.9, and 3.4 % higher than from SPST, CT, and ST,  
328 respectively (data not shown). Significantly lower straw yield was recorded in the  
329 aman season of 2009 on CT (4.16 t ha<sup>-1</sup>), SPST (4.26 t ha<sup>-1</sup>) and BP (4.47 t ha<sup>-1</sup>)  
330 than ST (4.95 t ha<sup>-1</sup>); however, the harvest index (HI) was significantly lower (44.4  
331 %) in aman season of 2009 on ST (Table 3). Among the tillage treatments, there was  
332 no difference among tillage types for the two boro seasons in HI. In boro 2009, HI  
333 was statistically similar in SPST (50.1 %), CT (49.3 %), and BP (49.6 %); and only  
334 puddled transplanted rice had significantly lower HI compared to ST (49.3 vs. 51 %)  
335 (Table 3). In 2010 boro season, the highest HI (49.5 %) was reported in the case of  
336 CT rice (Table 3).

#### 337 3.1.2.1. Rice seedling transplanting

338 In all seasons, rice seedling transplanting on formed beds had significantly lower  
339 labour use i.e., 50.9, 42.8, 42.8 (person-days ha<sup>-1</sup>). This is attributed to the fact that  
340 BP involved lower number of hills transplanted m<sup>-2</sup>, and less time was consumed for  
341 aligning the row on top of the beds than other tillage methods where strings were  
342 needed for accurate alignment of the rows. Among the tillage treatments, the labour  
343 use for rice seedling transplanting was highest for ST (57.6 person-days ha<sup>-1</sup>) but  
344 only in the boro 2009 season (Table 3). In subsequent aman and boro 2010

345 seasons, the labour cost for transplanting ST was similar to that for puddled  
346 transplanting. Transplanting costs for labour were significantly lower (by \$16-31 ha<sup>-1</sup>)  
347 in BP than CT in aman 2009 and boro 2010 season but not in boro 2009 season  
348 (Table 3).

#### 349 3.1.2.2. Weed control

350 The labour requirement for weeding in all tillage treatments was statistically similar  
351 for both the boro seasons. In aman 2009 season, both BP (50 person-days ha<sup>-1</sup>)  
352 and ST (55 person-days ha<sup>-1</sup>) had significantly lower labour use for weeding  
353 compared to SPST (65 person-days ha<sup>-1</sup>) and CT (63 person-days ha<sup>-1</sup>) (Table 3).

#### 354 3.1.3. Irrigation water use

355 In both the seasons, BP required 3-4 fewer irrigation events for boro rice cultivation  
356 than the other tillage treatments which all had similar numbers of irrigation events.  
357 Among the seasons, slightly higher frequency of irrigation was applied (22.3 events)  
358 during the boro season of 2009, followed by the boro season (20.8 events) of 2010.  
359 The aman season of 2009 was mostly monsoon rain dependent and required only  
360 average 2 irrigation events. In the experiment location, irrigation water contractors  
361 charged the cost of irrigation based on area irrigated for the full cropping season.  
362 Thus, except for the aman season of 2009, no variation was observed among the  
363 treatments for irrigation cost.

#### 364 3.2.1. Economic performance

##### 365 3.2.1.1. Land preparation cost

366 Over three seasons, the lowest land preparation cost was recorded in ST ranging  
367 from US\$32.54<sup>2</sup> to US\$33.25 ha<sup>-1</sup>; and the maximum land preparation cost was  
368 incurred in the case of CT corresponding to US\$88.24 ha<sup>-1</sup> in boro and aman during  
369 2009, and US\$110.29 ha<sup>-1</sup> during boro season of 2010 (Table 3).

#### 370 3.2.1.2. Total variable cost

371 Significantly higher total variable costs i.e., US\$270, US\$293, and US\$319 ha<sup>-1</sup>, in  
372 boro season 2009, in aman season 2009 and boro season 2010, respectively were  
373 recorded for CT (Table 3). The decrease in total variable costs were equivalent to  
374 29, 30, and 38 % for SPST, ST, and BP, respectively, due mostly to lower cost of  
375 tillage; and labour use for rice seedling transplanting, and weeding cost (Table 3).  
376 Among three seasons, highest total variable cost (US\$232.85 ha<sup>-1</sup>) was incurred for  
377 boro rice cultivation in 2009, which was about 7 and 9 % higher, respectively, than  
378 the aman season of 2009 and the boro season of 2010 due to higher labour wages,  
379 inputs costs, etc (Table 3).

#### 380 3.2.1.3. Gross return and gross margin

381 The gross return in boro season rice was US\$1627 to US\$1659 ha<sup>-1</sup> which was  
382 significantly higher compared to that in the aman season (US\$1014 ha<sup>-1</sup>) of 2009  
383 (Table 1). However, there was no significant difference among the tillage options on  
384 gross return (Table 2).

385 Compared to traditional puddling and transplanting of rice, significantly higher gross  
386 margin was accrued for SPST, BP, and ST by about US\$87, US\$118, and US\$79

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<sup>2</sup> US\$1.00 = Taka 68.00 (exchange rate current in 2009).



387 ha<sup>-1</sup>, respectively (Table 2). While boro season had almost double the gross margin  
388 of aman season, the effects of tillage were consistent across all seasons (Table 1).

#### 389 **4. Discussion**

390 The unpuddled transplanting treatments provided similar grain yield of rice to that  
391 under full tillage and puddling of soil followed by transplanting. Many previous  
392 studies on minimum tillage crop establishment have also reported equivalent crop  
393 yields to traditional full tillage systems. However, most of the previous research  
394 examined minimum tillage vs. full tillage rainfed crops (e.g. maize- Sharma et al.,  
395 2011) rather than transplanted rice. In a study in Northern India, Sharma et al. (2005)  
396 transplanted rice after one pass in wet soil to puddle the soil and reported similar rice  
397 yield to transplanting after full soil puddling involving several tillage operations. The  
398 present study now shows that a reduced tillage form of unpuddled transplanting of  
399 rice also produces similar yield to the conventional crop establishment by  
400 transplanting on fully puddled soils. Moreover, it further showed that minimum tillage  
401 unpuddled transplanting (following ST or BP) also produces similar rice yield, both in  
402 on-farm comparisons in large plots and in two replicated experiments. In the latter  
403 case, minimum tillage unpuddled transplanting produced equivalent rice yields to the  
404 conventional puddling and transplanting in three consecutive years. This adds to  
405 previous results on unpuddled transplanting (e.g., Ladha et al., 2009; Saharawat et  
406 al. 2009) which found that unpuddled transplanting into zero tilled soil increased  
407 average rice yields on farmer's fields by 0.3 t/ha. The minimum tillage (ST) or zero  
408 tillage unpuddled transplanting results contradict the suggestion by Baker and  
409 Saxton (2007) that it is not uncommon to experience some yield reduction in the first  
410 few no-tillage years, largely because it takes time for the soil to re-establish

411 favourable soil structure after minimum tillage is implemented. This transition period  
412 of yield reduction can often be overcome or even averted with increased fertility,  
413 strategic fertilizer banding with drill openers and careful crop selection (Baker and  
414 Saxton, 2007). While the present study found no cases of decreased rice yield with  
415 minimum tillage unpuddled transplanting, Ladha et al. (2009) reported a range of rice  
416 yield responses to zero tillage unpuddled transplanting from -0.8 to +1.5 t/ha. Hence  
417 there is a need to establish what conditions might lead to decreased yields under  
418 unpuddled transplanting, although poor weed control, as discussed below is a likely  
419 cause.

420 While the rice grain yield was unaffected by tillage method, straw yield was higher  
421 with ST than other tillage methods and this depressed the harvest index. Singh et al.  
422 (2007) reported that wheat sown with ST produced higher straw and grain yield  
423 compared to zero tillage, conventional sowing and BP and attributed the  
424 improvement to crop establishment in the well tilled strip and the co-location of  
425 fertilizer with the seed that increase nutrient availability. In the present study, basal  
426 fertilizers were banded near the seed in ST and BP compared to hand broadcasted  
427 application for conventional tillage. The relative availability of nutrients to crops under  
428 the different tillage methods, especially ST, needs further investigation.

429 In bed planted rice, the effective row spacing was 29 cm which reduced the plant  
430 population relative to other tillage methods that had 20 cm row spacing. Despite  
431 lower plant population, equivalent yield was obtained in BP. Hence it is possible that  
432 with equivalent plant population, grain yield increases could be obtained with  
433 unpuddled transplanting on beds. The present results suggest that wider average  
434 row spacing may have helped to increase the number of panicles per plant,

435 thousand grain mass, and to reduce the non-bearing tiller numbers per plant in case  
436 of bed planted rice. For cultivars with high tillering capacity, reduced bed size (e.g.  
437 40 cm) could ensure optimal panicle density that might increase grain yield in bed  
438 planted rice. Villaseñor-Mir (2008) and Kiliç (2010) reported that achieving optimal  
439 yield on narrow raised beds is a variety-specific (Kharub et al., 2008) technology in  
440 the case of bread and durum wheat (Triticum durum). Sayre (1998) reported that the  
441 crucial step in initiating research on bed-planting of wheat is to test a wide spectrum  
442 of varieties with differing heights, tillering abilities, phenology, and canopy  
443 architectures in order to understand the proper plant type for optimum performance  
444 on beds. Hence there is potential to achieve greater productivity of the unpuddled  
445 transplanted rice on permanent beds through research to identify varieties better  
446 adapted to this planting approach.

447 The labour requirement for weeding was lower in CT and SPST than minimum tillage  
448 unpuddled transplanted rice since the full tillage systems help to kill weeds before  
449 transplanting that reduced weed intensity. Before land preparation, an application of  
450 the non-selective herbicide like glyphosate would be useful to control pre-germinated  
451 weeds in unpuddled transplanted rice as in the case of minimum tillage wheat (Om  
452 et al., 2006), direct seeded rice (Subramanian et al., 2006) and transplanted rice  
453 (Natarajan and Kuppuswamy, 1999). Incomplete submergence of the tops of beds  
454 and of soils in the strip tillage treatment enhanced weed emergence. Weed  
455 infestation is also a common problem in direct seeding of rice in both wet and dry  
456 seeding results in lower grain yield (Singh et al., 2011). Other than hand weeding,  
457 there are many pre- and post-transplanting herbicides available (Komatsubara et al.,

458 2009; Zahan et al., 2014). Selection of effective herbicides will play a key role in  
459 effective weed control for minimum tillage unpuddled transplanted rice.

460 Rice seedling transplantation is labour intensive and different tillage methods  
461 significantly altered the labour required. Following strip tillage on top of the beds and  
462 then 24-hours inundation, farmers could quickly transplant on top of the beds since  
463 less time was spent lining up rows; however, in the case of strip tillage, transplanting  
464 took longer due to less visibility under the submerged condition of the tilled strip  
465 preferred for transplantation. A possible solution to this problem is a wider strip. In  
466 addition, retaining less standing water in the field until after transplanting is complete  
467 may improve visibility of the tilled zone to speed up unpuddled transplanting after  
468 strip tillage. However, incomplete submergence may also compromise the  
469 effectiveness of early weed control.

470 All one-pass tillage systems i.e., SPST, BP and ST attained higher gross margin  
471 compared to conventional transplanting into puddled soil but the one-pass methods  
472 were all similar (Table 2). There were lower expenses incurred for input use with  
473 one-pass minimum tillage and higher earnings from output sales. Reductions in  
474 water use as reported in the present study with unpuddled transplanting did not  
475 increase the gross margin. In the study area, farmers pay for irrigation water on an  
476 area basis, rather than for water volume used. Hence there is limited price incentive  
477 to reduce water use at present although declining groundwater levels in the study  
478 areas suggest an imperative to reduce water use for sustainability of the water  
479 resource (Rahman and Mahbub, 2012). A change in water pricing policy to a charge  
480 for volume of water used would be advantageous to water saving planting methods  
481 used for unpuddled transplanting, especially bed planting. Indeed pricing water

482 based on volume consumed is increasingly practiced in Bangladesh (Md. Nur  
483 Mohammed, Department of Agriculture Extension, Rajshahi, personal  
484 communication) and hence should encourage a shift to rice establishment methods  
485 that save water, like minimum tillage unpuddled transplanting. It should further be  
486 noted that no attempt was made in the present study to optimize irrigation water  
487 requirements in different minimum tillage methods for growing rice after unpuddled  
488 transplanting. The present study suggests that reduced water is required but not by  
489 how much or what is the minimum water requirement in different tillage methods to  
490 grow rice in unpuddled systems. It is possible that greater saving than reported here  
491 are possible.

492 Validation of minimum tillage unpuddled transplanting and other one-pass unpuddled  
493 methods for rice land preparation requires additional research and development.  
494 Such investigations should consider improved tillage implements. There may be  
495 merit in altering the speed of rotating blades, the depth and the width of slots to  
496 prepare an optimum soil tilth for unpuddled transplanting in ST. Single pass shallow  
497 tillage, BP and ST need testing in more diverse agro ecological conditions through  
498 on-farm trials to determine how robust these methods are for rice establishment by  
499 unpuddled transplanting. The soil type, tilth and cloddiness may interact with the  
500 length of wetting in order to facilitate unpuddled transplanting. In addition, sandy  
501 soils may re-gain high strength after wetting much faster than clay soils and this will  
502 affect the window of time when unpuddled transplanting can be effectively  
503 accomplished by manual labour (e.g., White et al., 1997). Mechanized transplanting  
504 into unpuddled soils is another labour- and cost-saving option worth investigation  
505 following initial evaluation by Hossen et al. (2014).

506 The present rice cultivars have been selected under puddled transplanting. It  
507 remains unclear whether these cultivars are most suitable for minimum tillage  
508 unpuddled rice or other forms of unpuddled transplanting. Above we have discussed  
509 a possible advantage of cultivars with high tillering capacity for BP. For minimum  
510 tillage methods, early vigor of transplanted seedlings would be beneficial for  
511 suppressing weeds (Dingkuhn et al., 1999). Changes in nutrient availability and soil  
512 strength under unpuddled transplanting may also favour cultivars with greater early  
513 rooting vigor or nutrient uptake efficiency (Borrell et al., 1998). The lower HI under  
514 ST may point to reduced nutrient availability with the minimum soil disturbance and a  
515 need for either altered fertilizer regimes or more nutrient use efficient cultivars for ST.  
516 However, initial studies on the N fertilizer requirements for rice under unpuddled  
517 transplanting following ST have shown no change in fertilizer N requirement versus  
518 conventional puddling (Jahiruddin et al., 2014).

519 The present study examined responses of single rice crops in Experiment 2 to  
520 unpuddled transplanting in three different seasons. However, rice is grown in 2- or 3-  
521 crop rotations in South and South-East Asia rice-based systems on over 12 million  
522 ha (Aggarwal et al., 2004). In Experiment 1, we also examined at two sites the  
523 response to ST and BP practiced repeatedly for 3 crops per year, so that the rice  
524 crop examined were crops 3, 6 and 9, respectively in the sequence. The benefit of  
525 minimum soil disturbance and crop residue retention which are achieved by  
526 unpuddled transplanting of rice seedlings into beds and following ST need to be  
527 assessed for the remaining crops in the systems. Moreover the benefits of  
528 unpuddled transplanting of rice seedlings over several years need to be assessed,  
529 as soil organic matter accumulates and soil structure develops. Other long term

530 trends for unpuddled transplanting of rice such as weeds, disease, and insects would  
531 also need to be monitored.

## 532 **5. Conclusions**

533 From 27 on-farm comparisons, across three seasons, the present study  
534 demonstrates that minimum tillage unpuddled rice transplanting was feasible without  
535 any yield penalty. Moreover, the unpuddled rice transplanting in different tillage  
536 systems reduced the cost of rice cultivation and frequency of irrigation events in the  
537 case of BP which would ultimately enhance gross margin for the rice farmers. In  
538 three consecutive years in two replicated experiments minimum tillage unpuddled  
539 transplanting produced equivalent rice yields to the conventional puddling followed  
540 by transplanting. This suggests that the benefits from unpuddled transplanting in  
541 terms of cost and water savings can be sustained over time. Hence there is solid  
542 evidence in farmers' fields that minimum tillage unpuddled rice transplanting could  
543 be an option for rice establishment under CA systems. This suggests that several  
544 minimum tillage unpuddled transplanting options i.e., bed formed, or strip tillage  
545 could be promoted in the traditional puddled boro and aman rice areas where direct  
546 seeding is not possible or feasible. Alternatively, these unpuddled transplanting  
547 options for rice can supplement the practice of direct seeding rice establishment  
548 where the latter is not feasible. Further validation of this approach requires research  
549 and development on suitable tillage implements, evaluation of better land  
550 preparation methods under minimum tillage systems and testing in more diverse  
551 agro-ecological conditions and soils through on-farm trials.

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