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1 Synthesis and anti-microbial potencies of 1-(2-hydroxyethyl)-3-  
2 alkylimidazolium chloride ionic liquids: Microbial viabilities at  
3 different ionic liquids concentrations

4  
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22

23 **Abstract**

24

25 Three 1-(2-hydroxyethyl)-3-alkylimidazolium chloride room temperature ionic liquids (ILs)  
26 [2OHimC<sub>n</sub>][Cl]; (*n* = 0, 1, 4) have been synthesized from the appropriate imidazole precursors  
27 and characterized by IR and NMR spectroscopies and elemental analysis. Their anti-microbial  
28 activities were investigated using the well-diffusion method. The viabilities of *Escherichia coli*,  
29 *Aeromonas hydrophila*, *Listeria monocytogenes* and *Salmonella enterica* as a function of IL  
30 concentrations were studied. The minimal inhibitory concentrations (MICs) and EC<sub>50</sub> values for  
31 the present ILs were within the concentration range from 60 to 125 mM and 23 to 73 mM,  
32 respectively. The anti-microbial potencies of the present ILs were compared to a standard  
33 antibiotic, gentamicin. The finding affords additional perspective on the level of ILs toxicity to  
34 aquatic lifeforms and yet, this characteristic can be readily harnessed to deter microbial growth  
35 and activity.

36

37 **Keywords:** Alkylimidazolium chloride ionic liquids, inhibition potential, human pathogens,  
38 microbial growth and viability.

39

40 **1. Introduction**

41

42 Room temperature ionic liquids (ILs) are generally salts that bear an organic cation, obtained  
43 by the extension of a valence of a nitrogen, phosphorus or sulphur atom, and an organic or  
44 inorganic anion with melting points below or not far above ambient temperatures. They are in  
45 demand as substitutes to conventional molecular solvents due to their high chemical and thermal

46 stabilities as well as their very low flammability and vapour pressures. ILs are generally  
47 excellent solvents for a wide range of inorganic and organic materials (Fuller et al., 1997;  
48 Suarez et al., 1998; Brennecke and Maginn, 2011; Gathergood et al., 2004; Couling et al., 2006;  
49 Hossain et al., 2011a). Despite their good industrial applicability, the high solubility of some ILs  
50 in water raises concerns as they may be potentially toxic to aquatic organisms. Correspondingly,  
51 IL researchers have focused their attention on determining IL toxicities on soil/aquatic-based  
52 organisms such as earthworms (Luo et al., 2009; Li et al., 2010), *Selenastrum capricornutum*  
53 (Cho et al., 2007) and *Vibrio fischeri* (Docherty and Kulpa, 2005) in order to afford a better  
54 understanding of their impact to the surrounding environment.

55 The notoriety of ILs as being toxic to aquatic organisms is, nonetheless, partially negated by  
56 the observation that their toxicities can be readily harnessed to deter microbial growth and  
57 activity. This dichotomy of the drawbacks and plus points affords IL researchers a rather  
58 intriguing perspective as can be seen for different applications. Bacteria are essentially a good  
59 foundation to inspect IL toxicity as they have short generation times (Pham et al., 2010).  
60 Previous studies concluded that some pyridinium, imidazolium and quaternary ammonium ILs  
61 show toxicity towards a range of bacteria (Pernak et al., 2003, Pernak et al., 2004;  
62 Roslonkiewicz et al., 2005). It has been suggested that some quaternary ammonium compounds  
63 can even be considered for disinfection of medical equipment (Demberelnyamba et al., 2004).  
64 In this regard, Saadeh et al. (2009) synthesized tetrabutylammonium-based ILs and reported that  
65 they were effective against Gram-positive and Gram-negative bacteria. We had previously  
66 established that hydroxylammonium-based ILs exhibited toxicity to a wide spectrum of human  
67 pathogens and in some cases, showed inhibition effectiveness comparable to a standard

68 antibiotic, gentamicin (Hossain et al., 2011b). On this basis, it is postulated that hydroxyl-  
69 functionalized imidazolium ILs may also exhibit anti-microbial characteristics.

70 The aims of the present study are therefore to synthesize and characterize a series of new 1-  
71 (2-hydroxyethyl)-3-alkylimidazolium chloride ILs and to investigate their anti-microbial  
72 activities, particularly in the context of microbial viabilities as a function of IL concentrations.  
73 Four types of human pathogens, namely, *Escherichia coli*, *Aeromonas hydrophila*, *Listeria*  
74 *monocytogenes* and *Salmonella enterica* were selected to assess the potential toxicities of these  
75 ILs and their effectiveness as anti-microbial agents, which indirectly reflected their aquatic  
76 toxicities.

77

## 78 **2. Materials and Methods**

79

### 80 *2.1. Synthesis of 1-(2-hydroxyethyl)-3-alkylimidazolium ILs*

81

82 All the ILs were synthesized according to previous reported methods (Branco et al., 2002;  
83 Yeon et al., 2005; Hossain et al., 2011b). By way of example, ~0.14 mol of 1-methylimidazole  
84 (Sigma-Aldrich, USA, AR grade, 99%) and 0.2 mol of 2-chloroethanol (Merck KGaA,  
85 Darmstadt, Germany, synthesis grade) were added to a round-bottomed flask containing 200 mL  
86 of acetonitrile (Sigma-Aldrich, 98%). After fitting a reflux condenser, the flask was maintained  
87 at 343 K under nitrogen for 24 h with magnetic stirring. The reaction progress was monitored by  
88 a thin layer chromatography using aluminium sheets coated with silica gel, with methanol as the  
89 mobile phase. This product was kept at ~353 K under vacuum (1 Pa) overnight to remove  
90 volatiles and moisture. An analogous procedure was used to synthesize the other two ILs, using

91 0.11 mol imidazole (Merck, synthesis grade) and 0.6 mol 1-butylimidazole (Merck, synthesis  
92 grade). The reactions employed and the structures of the ILs so obtained are represented in Fig.  
93 1. All in all, three ILs were synthesized and characterized: 1-(2-hydroxyethyl)imidazolium  
94 chloride, [2OHim][Cl], 1-(2-hydroxyethyl)-3-methylimidazolium chloride, [2OHimC][Cl] and  
95 1-(2-hydroxyethyl)-3-butylimidazolium chloride, [2OHimC<sub>4</sub>][Cl]. [2OHimC][Cl] was obtained  
96 as a solid-like IL while the other two ILs were in liquid form.

97

## 98 2.2. *Characterization*

99

100 The synthesized ILs were characterized using the Fourier-transform infrared (FTIR)  
101 spectroscopy (Shimadzu 8400S), <sup>1</sup>H-nuclear magnetic resonance (NMR) spectroscopy (Bruker  
102 Avance, 400 MHz) and elemental analysis (Leco 932). For NMR, 5 mg of the sample was  
103 dissolved in 0.7 mL of deuterated methanol (CD<sub>3</sub>OD). The observed peaks (as seen in Table 1)  
104 are abbreviated as s (singlet), d (doublet) t (triplet) and m (multiplet). Elemental analyses were  
105 performed according to a standard procedure (ASTM – D5219, 2009). The solid samples (< 2  
106 mg) were enclosed in a silver capsule whereas the liquid samples were analyzed in a silver  
107 capsule containing a sorbit pad. Analyses were performed in triplicate and averaged.

108

## 109 2.3. *Anti-microbial activity*

110

111 The ILs were assayed for anti-microbial activity against four registered microbial strains  
112 obtained from the Institute of Medical Research (IMR), Kuala Lumpur, Malaysia. These were:  
113 gram positive *Listeria monocytogenes* L 49 as well as gram negative *Escherichia coli*,

114 *Aeromonas hydrophila* and *Salmonella enterica* S 1180. This test was carried out using the well-  
115 diffusion method (Tagg and McGiven, 1971; Benkerroum et al., 2003). Muller-Hinton agar (20  
116 mL) (Merck, Germany) was dissolved, melted and cooled to 55 °C and subsequently inoculated  
117 with 1 mL of the bacterial suspension. The inoculated agar was transferred to a petri-plate and  
118 allowed to cool. Upon solidification of the medium, 6 mm diameter holes were created on the  
119 agar plate and 20 µL of the IL solution at different concentrations in deionized water were  
120 poured into the wells. The plates were then incubated at 37 °C for 24 h or until visible growth  
121 was established and the diameter of the inhibition-cleared zone around each well was  
122 determined. The screening results were compared with a standard antibiotic, namely, gentamicin  
123 (Atlantis Laboratory, Thailand).

124

#### 125 *2.4. Determination of minimal inhibitory concentration (MIC)*

126

127 Determination of minimal inhibitory concentration (MIC) was conducted according to the  
128 standard procedure (CLSI-M07-A9, 2008) developed by the Clinical and Laboratory Standards  
129 Institute (CLSI), Pennsylvania, USA. The microbial strains were cultured on a Muller-Hinton  
130 broth (MHB) for 24 h. The ILs were added to the first two wells of two horizontal rows in the  
131 96-well plate and twofold dilutions were made from the second set of wells while the last wells  
132 were kept untreated. Three replicates and seven different concentrations were studied for each  
133 IL. For turbid suspensions (optical density *ca* 0.1 to 0.3 at 530 nm), a 1:1000 dilution was used.  
134 The final bacterial inocula ranged from  $10^5$  to  $10^7$  colony-forming units (CFU) per milliliter.  
135 Gentamicin was used as a positive control while anhydrous dimethylsulfoxide (DMSO) (99.9%,  
136 Sigma-Aldrich) was used as a negative control. Microbial growth was visually determined after

137 incubation for 24 h at 37°C. The lowest concentration at which there was no visible growth  
138 (turbidity) was taken as the MIC. The 96 well-plate was subsequently kept in an ELISA plate  
139 reader to establish the EC<sub>50</sub> (effective concentration of IL required for 50 % toxicity within a  
140 specified exposure time) at wavelength 530 nm.

141

### 142 **3. Results and Discussion**

143

#### 144 *3.1. Characterization*

145

146 The IR, <sup>1</sup>H-NMR and elemental analysis data are listed in Table 1. The IL product yields  
147 ranged from 88 to 95 %. For the <sup>1</sup>H-NMR spectrum of [2OHim][Cl], the peaks at δ 3.91 and  
148 4.35 ppm indicate two two-proton triplets for –CH<sub>2</sub>OH and –NCH<sub>2</sub>– of the side-alkyl chain of the  
149 imidazolium ring, respectively. The –CH protons at C-4 and C-5 provide two doublet-doublets at  
150 δ 7.53 (*J* = 0.16 Hz, 4-CH) and 7.67 (*J* = 0.28 Hz, 5-CH). The other two singlet peaks correspond  
151 to the –NH and –CH proton on C-2 at δ 8.80 and 9.08, respectively. the hydroxyl peak of the  
152 studied ILs disappeared, which could be explained by a dynamic proton exchange reaction  
153 between the labile proton of the –OH group for the ILs and the labile deuterium of the –OD  
154 group for the solvent CD<sub>3</sub>OD. This can be confirmed by the appearance of a peak with weak  
155 intensity at 3.31 δ ppm, which may be attributed to the –OH group of CD<sub>3</sub>OH. The other two ILs  
156 represent similar peaks that correspond to the necessary protons in the molecules which confirm  
157 the structures. Similar ILs have been studied and reported (Fraga-Dubreuil and Bazureau, 2003;  
158 Choi et al., 2007, Jalili et al., 2010) which can be further used to elucidate the structures of the  
159 present ILs.



160 The IR data confirm the functionality of the IL structures and are further corroborated by the  
161 study done by Chang et al. (2007). The functionalities of the present ILs, such as hydroxyl  
162 (OH), alkyl (-CH<sub>3</sub> and -CH<sub>2</sub>-), tertiary and secondary amino groups (≡N and =NH), and  
163 aromatic (CH) structures are confirmed by the IR spectroscopy (Fig. 2). The broad absorption  
164 range between 3130 and 3350 cm<sup>-1</sup> can be attributed to the presence of the hydroxyl group in the  
165 structures. Spectral analysis of this broad absorption range is rather complicated due to the  
166 presence of signals rising from the stretching modes of the water together with the hydroxyl  
167 groups in the ILs. More likely with the observations of Chowdhury and Thynell (Chowdhury  
168 and Thynell, 2006), the -C=C-, -C=N- and C-N stretching frequencies within the imidazolium  
169 ring are observed at around 1560, 1450 and 1160 cm<sup>-1</sup>, respectively. The C-H and C-N(1)-C  
170 bending-in-plane (bip) modes appear around 1070 cm<sup>-1</sup>, with the C-H bending-out-of-plane  
171 (bop) modes occurring at 860 and 750 cm<sup>-1</sup>. The intense peaks at 2950 and 2850 cm<sup>-1</sup> can be  
172 assigned to the -C-H in-plane stretching of the alkyl groups (Erdmenger et al., 2008). The low-  
173 intensity band at 3070 cm<sup>-1</sup> can be attributed to the =C-H stretching frequency (Chang et al.,  
174 2007). The alkyl (-CH<sub>3</sub> and -CH<sub>2</sub>-), NH, aromatic (CH) and hydroxyl (OH) structures detected  
175 via <sup>1</sup>H-NMR are consistent with the IR data. The calculated carbon, hydrogen and nitrogen  
176 percentages are in good agreement with the experimental values (Table 1).

177

### 178 *3.2. Anti-microbial activities of ILs*

179

180 Agar diffusion technique (Tagg and McGiven, 1971; Benkerroum et al., 2003) was  
181 employed for screening purpose. The anti-microbial activities of the synthesized ILs were  
182 determined by measuring the inhibition zones on the agar plates. This zone is defined as the area

183 on the agar plate where microbial growth is inhibited by the test compound. Information from  
184 the screening result was used to select the initial ILs concentration (250 mM) for the MIC test  
185 and subsequently diluted to facilitate the investigation of the effect of the IL concentrations on  
186 the microbial viabilities. The MICs for all ILs are estimated to be 125 mM with the exception of  
187 [2OHim][Cl] and [2OHimC<sub>4</sub>][Cl] against *Aeromonas hydrophilla* and *Escherichia coli*,  
188 respectively, which record a MIC of 62.5 mM. The viabilities of the *Escherichia coli*,  
189 *Aeromonas hydrophilla*, *Listeria monocytogenes* and *Salmonella enterica* as a function of the IL  
190 concentrations are shown in Fig. 3. In all cases, the viabilities of the growth decreased with  
191 increasing concentrations as exhibited in the archetypal sigmoid curve except for with  
192 *Salmonella enterica* which experienced gradual viability decline.

193 Table 2 shows the EC<sub>50</sub> values calculated using the ELISA plate reader. These values ranged  
194 from 23 to 73 mM of all ILs required to limit microbial viabilities to 50%. This interestingly  
195 implied that the anti-microbial activities of all ILs were not as potent as compared to  
196 gentamicin, which exhibits EC<sub>50</sub> values *ca* 2 mM on all four microbial species. The three ILs  
197 exhibited lower toxicities compared to gentamicin by factors ranging from approximately 12 to  
198 36, which are rather significant. Indeed, such findings somewhat contrast our previous study  
199 (Hossain et al., 2011b) in terms of IL anti-microbial potency whereby *2-hydroxy-N-(2-*  
200 *hydroxyethyl)-N-methylethanaminium acetate* was found to exhibit toxicity levels (to *Vibrio*  
201 *cholera* and *Listeria monocytogenes*) comparable with that of gentamicin, albeit it is  
202 acknowledged that these two sets of ILs belong to different IL classifications.

203 Another trend is apparent; an increase in the chain length of alkyl substituents correlates  
204 with an increased microbial growth inhibition whereby the toxicity level of the studied ILs is:  
205 [2OHimC<sub>4</sub>][Cl] (highest) > [2OHimC][Cl] > [2OHim][Cl] (lowest). This observation was

206 consistent with previous ILs toxicity studies (Pernak et al., 2003, 2004; Docherty and Kulpa,  
207 2005) in which an increase in the chain length of alkyl substituents on the cation ring increases  
208 IL toxicity for the imidazolium-based ILs. *Listeria monocytogenes* seems to be the least  
209 susceptible to the present ILs among all the studied microbial species since their EC<sub>50</sub> values are  
210 the highest.

211

#### 212 **4. Conclusions**

213

214 1-(2-hydroxyethyl)imidazolium chloride, 1-(2-hydroxyethyl)-3-methylimidazolium  
215 chloride, and 1-(2-hydroxyethyl)-3-butylimidazolium chloride ILs were synthesized and  
216 characterized, and their anti-microbial activities established. These ILs, though capable of  
217 inhibiting microbial growth, were not comparable with that of gentamicin in terms of anti-  
218 microbial potency. The EC<sub>50</sub> values of the ILs also indicated a straightforward dependence on  
219 the chain length of alkyl substituents on the cation ring. The findings from this study can be  
220 used for better design of imidazolium-based ILs with consideration of their aquatic toxicities.

221

#### 222 **Acknowledgments**

223

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336



337 **Figure captions**

338 **Fig. 1.** Synthesis reaction and structures of the present ILs.

339 **Fig. 2.** IR spectra of the present ILs.

340 **Fig. 3.** Viabilities of (a) *Escherichia coli*, (b) *Aeromonas hydrophila*, (c) *Listeria monocytogenes*  
341 and (d) *Salmonella enterica* as a function of ILs concentrations (■ [2OHim][Cl]; ▲  
342 [2OHimC][Cl]; ◆ [2OHimC<sub>4</sub>][Cl]).

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360 **Table 1.** FTIR and NMR spectroscopic and elemental data for the synthesized ILs.

Ionic liquid	MW (g/mol)	Yield (%)	FTIR (cm <sup>-1</sup> )	<sup>1</sup> H-NMR (δ ppm)	Elemental analysis (%)		
					Experimental	Calculated	
[2OHim][Cl]	148.5	86	626.8, 829.3, 1163.0, 1581.5, 2846.7, 3143.8, 3357.8	759.9, 1066.6, 1446.5, 1629.7, 2958.6	3.91 (t, 2H, <u>C</u> H <sub>2</sub> OH), 4.35 (t, 2H, N <sup>+</sup> -CH <sub>2</sub> ), 7.53 (dd, <i>J</i> =0.16 Hz, 4-CH), 7.67 (dd, <i>J</i> =0.28 Hz, 5-CH), 8.80 (s, 1H, 3-NH), 9.08 (s, 1H, 2-CH)	C 39.81 H 5.96 N 20.12	C 40.40 H 6.06 N 18.85
[2OHimC][Cl]	162.5	95	754.1, 1072.3, 1448.4, 3072.4, 3232.5	867.9, 1164.9, 1568.0, 3145.7	3.90-3.93 (t, 2H, <u>C</u> H <sub>2</sub> -OH), 4.00 (s, 3H, CH <sub>3</sub> -N), 4.36-4.39 (t, 2H, CH <sub>2</sub> -N), 7.62 (s, 1H, 4-CH), 7.68 (s, 1H, 5-CH), 9.01 (s, 1H, 2-CH)	C 44.53 H 7.03 N 17.11	C 44.30 H 6.77 N 17.23
[2OHimC <sub>4</sub> ][Cl]	204.5	88	752.2, 1076.2, 1456.2, 2871.8, 3137.9, 3232.5	869.8, 1163.0, 1562.2, 3068.5	1.01 (t, 3H, CH <sub>3</sub> ), 1.39-1.42 (m, 2H, CH <sub>2</sub> ), 1.88-1.95 (m, 2H, CH <sub>2</sub> ), 3.90-3.92 (t, 2H, <u>C</u> H <sub>2</sub> -OH), 4.29 (t, 2H, CH <sub>2</sub> -N), 4.36 (t, 2H, CH <sub>2</sub> -N), 7.70 (s, 1H, 4-CH), 7.72 (s, 1H, 5-CH), 9.10 (s, 1H, 2-CH)	C 52.16 H 8.97 N 12.98	C 52.81 H 8.31 N 13.69

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363 **Table 2.** EC<sub>50</sub> values calculated using ELISA plate reader and expressed in mM.

	[2OHim][Cl]	[2OHimC][Cl]	[2OHimC <sub>4</sub> ][Cl]	Gentamicin
<i>Escherichia coli</i>	58.44±4.07	43.40±2.54	23.67±2.22	2.00
<i>Aeromonas hydrophila</i>	65.37±1.82	35.54±1.14	31.21±2.51	1.97
<i>Listeria monocytogenes</i>	72.92±1.84	53.79±1.95	52.47±1.24	1.86
<i>Salmonella enterica</i>	56.16±3.56	50.84±1.72	45.15±4.27	1.92

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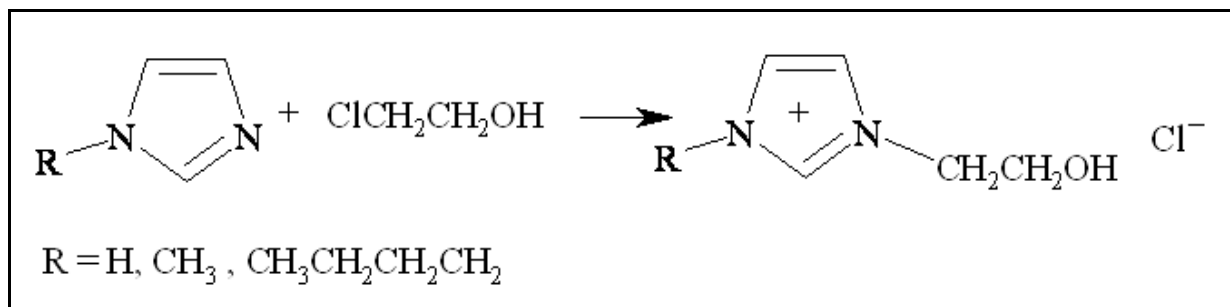
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382 **Fig. 1.** Synthesis reaction and structures of the present ILs.

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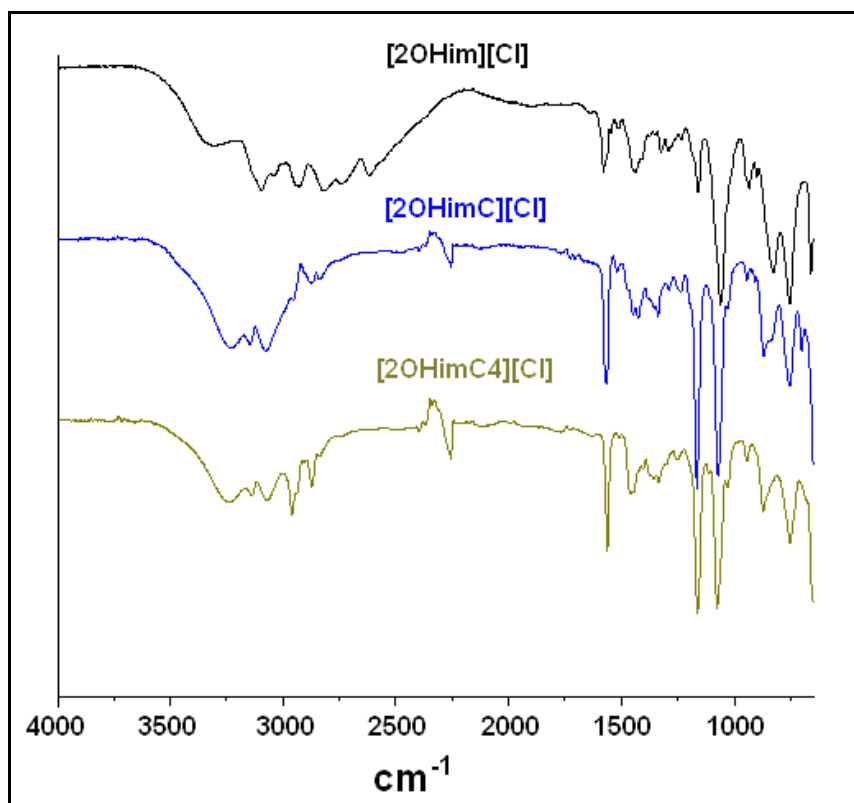
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402 **Fig. 2.** IR spectra of the present ILs.

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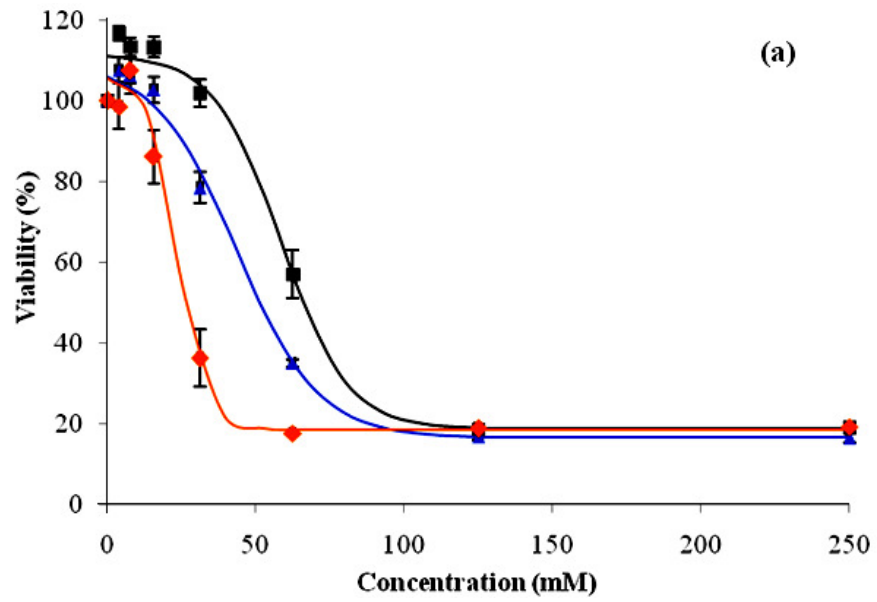
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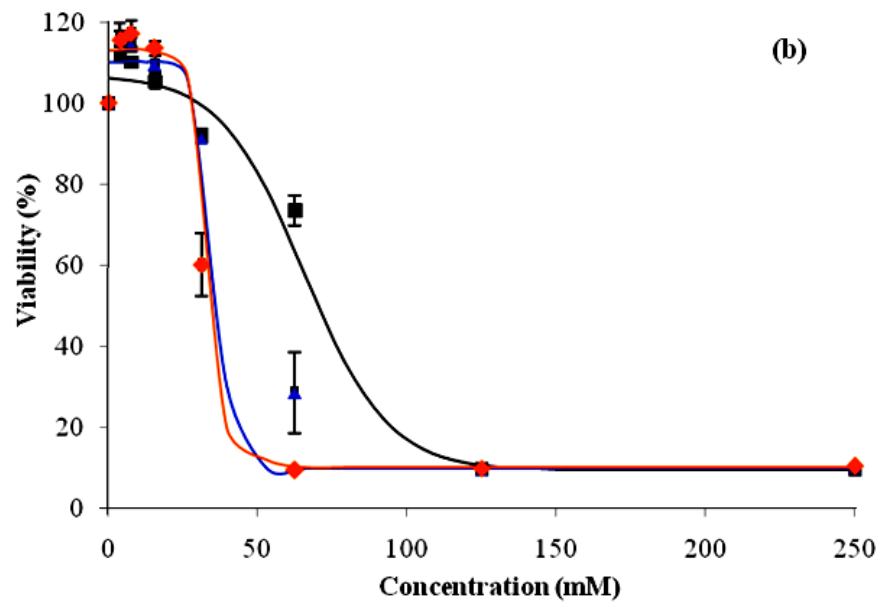
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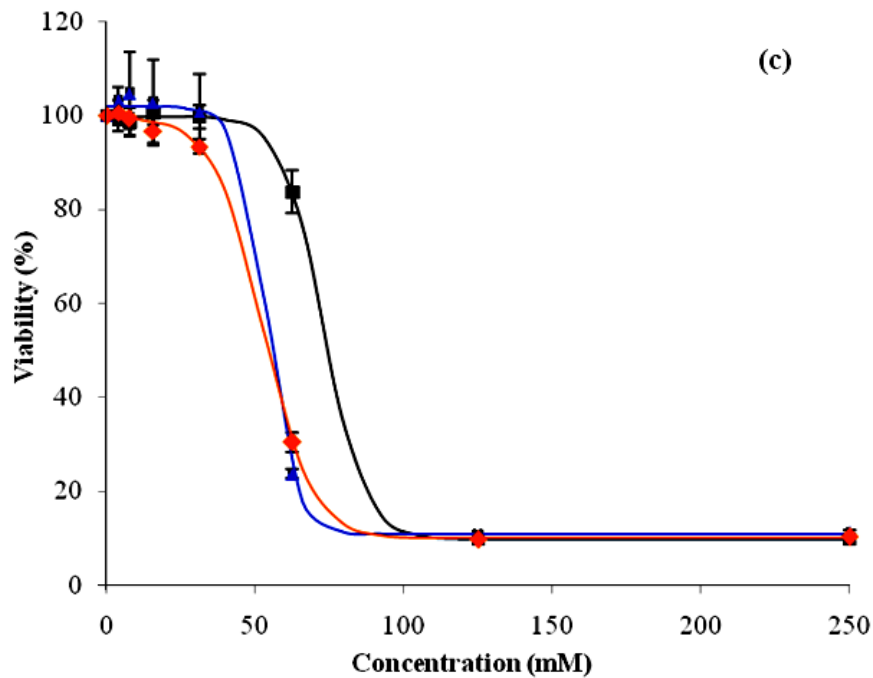


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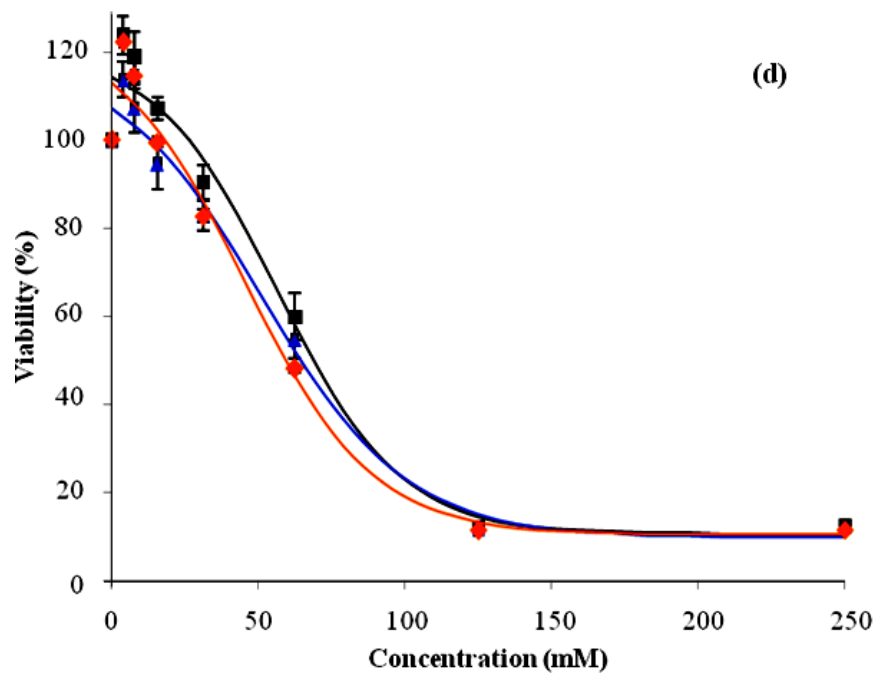
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421 **Fig. 3.** Viabilities of (a) *Escherichia coli*, (b) *Aeromonas hydrophila*, (c) *Listeria monocytogenes*

422 and (d) *Salmonella enterica* as a function of ILs concentrations (■ [2OHim][Cl]; ▲

423 [2OHimC][Cl]; ◆ [2OHimC<sub>4</sub>][Cl]).