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1 **Sub-surface behavior of bottlenose dolphins (*Tursiops truncatus*) interacting with fish**  
2 **trawl nets in north-western Australia: implications for bycatch mitigation**

3

4 Running head: *Dolphin interactions with trawl nets*

5

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17 **Abstract**

18 Most studies of delphinid-trawler interactions have documented the surface behavior of  
19 dolphins feeding on discarded bycatch, but not their sub-surface behavior around bottom-  
20 trawl gear. Using video cameras mounted inside trawl nets, we recorded the sub-surface  
21 behavior of common bottlenose dolphins (*Tursiops truncatus*) in a demersal fish trawl  
22 fishery in north-western Australia. A total of 85 h of footage was collected from 36 trawls  
23 across the fishery, which has an annual dolphin bycatch of 20 to 50 individuals. This  
24 footage was analyzed to determine the extent of dolphin-gear interactions and the behavior  
25 of dolphins inside the nets. Interaction rates were high, with dolphins present inside and  
26 outside the nets during 29 and 34 trawls respectively, and for up to 99% of the trawl  
27 duration. The proportion of foraging behaviors exhibited inside the nets was higher than  
28 the proportions of other behavioral states. Twenty-nine individuals were identified inside  
29 the net, some returning repeatedly between trawls and fishing trips. Our results suggest that  
30 entering trawl nets may be a specialized behavior exhibited by a subset of trawler-  
31 associated dolphins and that gear modifications, not spatial or temporal adjustments to  
32 fishing effort, have the greatest potential to reduce dolphin bycatch.

33

34 **Key words:** underwater video observation, protected species, bycatch reduction

35

## 36 **1 Introduction**

37 Dolphins are apex predators whose movement patterns, like those of fishing vessels, are  
38 largely determined by the availability of prey (Shane *et al.* 1986). This often results in  
39 considerable overlap in the spatial distribution of fishing vessels and delphinid populations  
40 (Nitta and Henderson 1993). Due to their remarkable flexibility in foraging strategies,  
41 many delphinid communities have learned to exploit fisheries as an energetically efficient  
42 food source, since the fish are concentrated by the fishing activity and the energy expended  
43 on foraging can be much lower than under natural conditions (Shane *et al.* 1986, Fertl and  
44 Leatherwood 1997). Delphinid interactions with fishing gear have been documented most  
45 thoroughly in gill net fisheries (*e.g.*, Dawson *et al.* 2001, Read *et al.* 2003, Rojas-Bracho *et*  
46 *al.* 2006, Bearzi *et al.* 2008), but are also known to occur in other fisheries, including long  
47 lines (*e.g.*, Dalla Rosa and Secchi 2007), drift nets (*e.g.*, Rogan and Mackey 2007), purse-  
48 seines (*e.g.*, Hall 1998), fish and prawn trawlers (*e.g.*, Waring *et al.* 1990, Couperus 1997,  
49 Broadhurst 1998) and fish cage aquaculture (Diaz-Lopez *et al.* 2005). While the term  
50 ‘interaction’ has been used with a broad range of definitions in the literature, here it is  
51 defined as any association with, or close proximity to, the trawl net while it is actively  
52 fishing and does not indicate a bycatch event, *i.e.*, the incidental capture of a dolphin.

53         Associations between dolphins and trawlers are known from around the world  
54 (Waring *et al.* 1990, Fertl and Leatherwood 1997, Zeeberg *et al.* 2006, Gonzalvo *et al.*  
55 2008), including various locations around Australia (Corkeron *et al.* 1990, Hill and  
56 Wassenberg 1990, Broadhurst 1998, Svane 2005). While these interactions provide  
57 dolphins with foraging opportunities, they also present risks of injury and mortality  
58 through entanglement in fishing gear. Fishing-related mortality is considered the most  
59 severe and immediate threat to populations of small cetaceans worldwide (Read 2008).

60           The Pilbara Fish Trawl Interim Managed Fishery (hereafter the “Pilbara Trawl  
61 Fishery” [PTF]) operates off the northern coast of Western Australia. Common bottlenose  
62 dolphins *Tursiops truncatus* (hereafter “bottlenose dolphins”), listed as a protected species  
63 in Australia, have been interacting with the PTF in the last decade, leading to a reported  
64 annual bycatch of 20-50 dolphins (Allen and Loneragan 2010). Groups of dolphins follow  
65 the trawlers for extended periods of time, at least up to several days (Allen and Loneragan  
66 2010), which suggests a close and ongoing interaction between the dolphins and the  
67 fishery, similar to that reported from Moreton Bay, Queensland (Chilvers and Corkeron  
68 2001).

69           Virtually all previously published studies of dolphin-trawler interactions have been  
70 based on surface observations, stomach content analyzes of incidentally caught dolphins,  
71 or examination of the composition and condition of the catch and gear once they are landed  
72 on deck (*e.g.*, Couperus 1997, Gonzalvo *et al.* 2008, but see Broadhurst 1998 who  
73 described sub-surface interactions of bottlenose dolphins around the cod-end of prawn  
74 trawl nets). Interactions between small cetaceans and fishing operations are generally  
75 assumed to originate from the animals’ attraction to an easily accessible, concentrated food  
76 source, such as discards or the large numbers of prey around the nets (Hill and Wassenberg  
77 1990, Fertl and Leatherwood 1997, Svane 2005).

78           In addition to feeding on discards from trawl catches, dolphins are known to  
79 interact with actively fishing trawl nets in the PTF. A recent investigation found that  
80 dolphins entered the nets in 66% of all trawls and were present inside the nets for up to  
81 64% of the duration of each trawl (Mackay 2008). However, the video cameras used in that  
82 study were not able to record the entire duration of each trawl and did not capture footage  
83 of sufficient quality to identify individual dolphins in the net. Here, we used more  
84 advanced underwater video systems that were able to record the entire duration of the

85 trawls and had the resolution to allow individual dolphins to be identified, to study the  
86 fine-scale nature of interactions between bottlenose dolphins and actively fishing trawl  
87 nets. We also documented the extent of individual dolphin re-sightings inside actively  
88 fishing nets to assess if entering trawl nets was restricted to a subset of individuals within  
89 the community of dolphins that associate with trawlers in the fishery. Data from this study  
90 provide an improved understanding of dolphin sub-surface behaviors and a framework for  
91 assessing the full extent of dolphin-trawler interactions.

92

## 93 **2 Materials and Methods**

### 94 **2.1 Study site and trawl nets**

95 The PTF operates between the 50 m and 100 m depth contours seaward of the Pilbara  
96 region in north-western Australia, north of latitude 21°44'S and between 114°9'36''E and  
97 120°E (Fig. 1). Areas 1, 2, 4 and 5 in the PTF are currently open to trawling, covering  
98 6 900 nm<sup>2</sup> (12 779 km<sup>2</sup>) (Fig. 1). Three to four trawlers fish the area throughout the year,  
99 with reduced effort during the cyclone season (December to March). Fishing time is  
100 capped for each area of the fishery, with a total annual effort equivalent to approximately  
101 5 500 trawls, with an average duration of about three hours per trawl. The PTF targets  
102 demersal finfish, including various snapper and emperor species (*Lutjanus* spp. and  
103 *Lethrinus* spp.) and Rankin cod (*Epinephelus multinotatus*) (Newman *et al.* 2003).  
104 Threatened and protected species, including dolphins, sharks, rays, turtles and sea snakes  
105 are incidentally caught in the PTF (Allen and Loneragan 2010).

106 Trawl vessels in the PTF tow a single net with twin otter boards, which are dragged  
107 along the sea floor at a speed of just over three knots (Fig. 2). The nets are divided into  
108 four main sections: the wings, which form the opening of the net; the throat, which is the  
109 panel immediately behind the opening of the net and where the net tapers, leading to a

110 bycatch exclusion grid; the extension, a tubular section; and the codend, where the catch is  
111 collected. The diameter and mesh size decrease in each panel with distance from the  
112 opening of the net; the minimum mesh size is 100 mm. Nets in the PTF typically have an  
113 opening of 15 m in height and a length of approximately 44 m from the end of the wings to  
114 the end of the extension. The codend often varies in length between different nets. The foot  
115 rope is weighted and contains bobbins (< 35 cm in diameter) that are spaced about 30 cm  
116 apart and roll along the sea floor.

## 117 **2.2 Data collection and video analyzes**

118         The data analyzed in this study were collected between October and November  
119 2008, by independent observers onboard the trawl vessel catching the greatest proportion  
120 of dolphins (Allen and Loneragan 2010). The 36 daytime trawls that were analyzed for  
121 dolphin presence/absence and behavior inside and outside trawl nets were completed  
122 during three fishing trips of approximately two weeks duration each, and in all open areas  
123 of the fishery. During these trips, observers also made approximate counts of dolphins  
124 surrounding the vessel while the net was winched up.

125         Underwater video recordings were made during commercial fishing activities,  
126 using Sony Handycam Digital High Definition Video Camera Recorders (model HDR-  
127 CX7). The cameras were placed in waterproof metal housings and secured to the trawl net  
128 by cable ties. A trawl net float was attached to the base plate of the housing and the netting  
129 behind the unit to compensate for the weight of the housings. A camera was fitted in the  
130 throat of the net, 3.6 m forward of the exclusion grid and facing forward toward the net  
131 opening (Fig. 2). Cameras were set to standard definition, long play and night vision to  
132 provide clearer recordings at depths with limited natural light.

133         The video footage was viewed and analyzed using EventMeasure v2.04, a software  
134 package designed to record biological and behavioral information about animals in

135 underwater movie sequences (Seager 2008). This program features an integrated movie  
136 player that supports efficient video analysis through fast forward playback and frame  
137 stepping functions. Events are logged by overlaying dot points on still images, with the  
138 identified individual marked by a red dot. Information and attribute fields can be loaded  
139 from a pre-defined text file and assigned to the overlaid points. At the end of a video  
140 sequence, the data added to the information and attribute fields can be exported as a text  
141 file for subsequent analyzes. Furthermore, reference images and movie clips can be  
142 captured and recalled through an inbuilt viewer while analyzing video sequences. This  
143 function allows individuals to be identified and a photo-identification catalogue to be  
144 developed (see below), thus facilitating the confirmation of re-sightings of dolphins in the  
145 net. It was not possible to identify many species of fish from the video footage. The video  
146 footage of all 36 trawls was of similar quality and therefore not graded.

147         The first and last time a dolphin was observed inside and outside the net was  
148 recorded to obtain an approximate measure of the time dolphins interacted with the net  
149 during a trawl. The camera's field of view was much wider and deeper inside than outside  
150 the net (Fig. 2b). Estimates of the temporal occurrence of dolphins outside the nets are  
151 therefore likely to be minimum estimates and individual dolphins could not be identified  
152 with confidence. Data for dolphins observed outside the net are presented here solely for  
153 reference and comparison with the more accurate proportions of behaviors recorded inside  
154 the net.

155         Six trawls with dolphins present inside the net (two from each fishing trip) were  
156 sub-sampled using focal individual follows to establish the percentage of the total trawl  
157 duration during which individual dolphins were present in the net, their average dive time  
158 inside the net and the number of times they returned to the net in each trawl. We also  
159 investigated whether the average dive time and number of returns to the net was influenced



160 by the presence of conspecifics inside the net. This was done by analyzing three trawls  
161 featuring three different, single individuals entering the net and three trawls during which  
162 multiple dolphins (five, eight and nine individuals) entered the net in groups. In each of the  
163 six trawls, a single previously identified individual was observed and followed throughout  
164 the duration of the trawl, resulting in six focal follows. The results obtained from this sub-  
165 sample of focal follows were compared with the behavioral events obtained using the scan  
166 sampling method described below.

### 167 **2.3 Dolphin identification and behavior**

168 Every dolphin that entered the net was identified based on morphological characteristics,  
169 such as scars and irregularities of the dorsal fin or fluke. A still image of every dolphin was  
170 captured, illustrating the natural markings used to identify the individual and, where  
171 possible, its dorsal and ventral aspects. Behavioral data were collected from all focal  
172 dolphins present inside or outside the net. If multiple dolphins were present inside the net  
173 simultaneously, every dolphin's behavior was analyzed separately and the tape rewound  
174 after each focal follow. A number of behavioral events were recorded within three broad  
175 behavioral states (traveling, foraging, and socializing) (Table 1). For example, 'fish chase'  
176 and 'fish catch' were two events recorded within the behavioral state 'foraging' (Table 1).  
177 The following information and attributes were recorded for every behavioral event: date,  
178 vessel name, trip number and trawl number, the animal's position in relation to the net, the  
179 behavioral event displayed, and comments including whether or not the animal was  
180 resighted. The ID number and the dolphin's gender (if discernible from ventral aspect)  
181 were recorded only for those dolphins that entered the net. Most dolphins that entered the  
182 net appeared to be adults; however, the size of individuals could not be measured without  
183 the use of stereo cameras.

## 184 **2.4 Image analysis**

185 We used a scan sampling method (Altmann 1974) to quantify the behavioral events  
186 exhibited by the dolphins. This method involved detailed sampling for one minute,  
187 followed by fast forwarding the imagery for five minutes and repeating this procedure  
188 throughout the length of the tape. The results from this scan sampling method were  
189 compared with those from analyzing the entire video via continuous sampling (Altmann  
190 1974) for two trawls. The proportions of behavioral events recorded were compared  
191 between the continuous and scan sampling methods using a Kolmogorov-Smirnov test.  
192 This test indicated that the relative frequencies of behavioral events did not differ  
193 significantly between the scan and continuous sampling methods (K-S,  $D = 0.43$ ,  $p = 0.54$ ).  
194 The more efficient scan sampling method was therefore adopted to process all trawls.

195 Scan sampling was paused and an event recorded when: 1) the first and last dolphin  
196 that entered the camera's field of view inside and outside the net did so during the five  
197 minute fast-forwarding period; and 2) the start or end of a trawl fell between the one  
198 minute sampling periods. This meant that the estimate of dolphins' temporal association  
199 with the nets was not affected by the sampling method.

200 The duration of a trawl was defined as the time from when the net was fully  
201 extended to the time when the net had completely collapsed on reaching the surface ( $n = 33$   
202 trawls), or when the camera stopped recording ( $n = 3$  trawls). This definition allowed the  
203 proportion of trawl time that dolphins were present around the net to be calculated, even  
204 when recording stopped before the end of a trawl.

## 205 **2.5 Data analyzes**

206 Behavioral event data were exported from EventMeasure as text files and imported into  
207 Microsoft Office Excel 2003 for further exploration. Statistical analyzes were performed in  
208 PASW Statistics v17. The total number of each behavioral event was recorded and

209 summed for each behavioral state for dolphins inside and outside the net. These data were  
210 used to provide a description of the behaviors exhibited by dolphins.

211 The total number of behavioral events, excluding entries and exits into and from the  
212 net was calculated for each dolphin in each trawl. The percentages of events in each  
213 behavioral state were calculated for each dolphin in each trawl and then the mean percent  
214 of behavioral events and states were calculated separately for each trawl and over all  
215 trawls.

## 216 **3 Results**

### 217 **3.1 Association of dolphins with trawl nets**

218 A total of 85 h of video footage from 36 trawls was analyzed. The mean duration of these  
219 trawls was 2 h 14 min  $\pm$  9 min ( $\pm$  1 SE, range of trawl durations = 33 min to 3 h 20 min).  
220 Dolphins were observed outside the net in 94% of trawls (n = 34) and entered the net in  
221 81% of trawls (n = 29). They were present outside the net for an average of  $77 \pm 5\%$  of the  
222 trawl duration (range = 22% to 99%) and were visible inside the net during an average of  
223  $59 \pm 7\%$  of the total trawl time (range = 2% to 98%). A total of 87 entries into the net were  
224 recorded, with most dolphins entering head first or sideways (43% for each) and 14%  
225 entering tail first, *i.e.*, slowly drifting backward into the net before swimming in the same  
226 direction as the trawler and net. No dolphin swam behind the camera in front of the BRD  
227 during the 36 trawls analyzed for this study.

228 Observations from continuous sampling of six dolphins that entered the net in six  
229 separate trawls indicated that dolphins entered the net more often if they were alone in the  
230 net (mean  $\pm$  1 SE =  $11 \pm 4$  entries, range = 6 to 19 entries, n = 3 trawls), than if other  
231 dolphins were inside the net during that trawl ( $6 \pm 2$  entries, range = 3 to 10 entries, n = 3  
232 trawls). However, the mean presence time of individuals in the net did not differ between  
233 group sizes (mean for dolphins alone = 2 min 21 sec  $\pm$  13 sec *cf.* mean for dolphins

234 together = 2 min 15 sec  $\pm$  15 sec). The longest recorded dive time inside the net of any  
235 individual was 7 minutes 2 seconds; during that dive, no other individuals were present  
236 inside the net.

237         Dolphins were recorded inside and around the net in all areas of the fishery. A total  
238 of 29 individual dolphins were identified from videos recorded inside the net. The number  
239 of dolphins present in the net at the same time ranged from one during most trawls (n = 15  
240 trawls) to seven (n = 1 trawl). The highest cumulative number of individuals observed in  
241 the net during a single trawl was nine dolphins. During seven of the 36 trawls, no dolphins  
242 were observed inside the net, although dolphins were observed outside the net during five  
243 of these trawls. These trawls occurred during different fishing trips and in different fishing  
244 areas. During winch-up, group sizes were estimated at approximately 25 to 50 dolphins.

245         The mean number of dolphins in the net per trawl was  $2 \pm 0.4$  (range = 1 to 9, n =  
246 29). Of the 29 identified individuals, twelve entered the net in only one trawl: seven of  
247 these entered the net only once, while the remaining five returned to the net multiple times  
248 during the trawl. A further ten dolphins were each re-sighted in either two or three different  
249 trawls during the same fishing trip. Seven dolphins were sighted inside the net in different  
250 fishing trips (Fig. 1). One of these individuals entered the net during all three trips (Fig. 1).  
251 This suspected male was also the individual with the highest number of re-sightings; it was  
252 seen during a total of nine trawls. The remaining six individuals were each sighted in two  
253 of the three trips and in all of the areas where trawling occurs (Fig. 1). Three of these  
254 individuals were observed in one area only – areas 2, 4 and 5, respectively, while the other  
255 four dolphins entered the net in two areas each (Fig. 1). Three of these four dolphins were  
256 recorded when the vessel was fishing close to the border between two areas. Nine dolphins  
257 were repeatedly observed in groups of two, either in different trawls of the same trip or  
258 during different trips.

## 259 **3.2 Dolphin behavior**

260

261 A total of 1 142 behavioral events were recorded from the scan sampling of 36 trawls, with  
262 406 events recorded from dolphins inside the net and 736 events from those outside the  
263 net. Inside the net, dolphins displayed a wider variety of behaviors overall (14 types of  
264 events) in each behavioral state (travelling (5), foraging (5), socializing (4)) than dolphins  
265 outside the net. The total number of events recorded excluding entries into (86) and exits  
266 from (60) the net was 1 133.

267 A total of 257 events were recorded from dolphins inside the net, with 221 of these  
268 classified as foraging (86%) and 36 as socializing (14%). When entries and exits were  
269 excluded, behavioral events were recorded from 24 trawls inside the net. The highest mean  
270 proportions of behaviors inside the net were foraging behaviors ( $88 \pm 4.8\%$ ), followed by  
271 socializing ( $12 \pm 4.8\%$ ). The main foraging behaviors were chasing fish, scanning for fish  
272 and catching fish, while chasing other dolphins was the most common socializing event  
273 inside the net (Fig. 3).

274 Outside the net, where the field of view was limited and individuals could not be  
275 identified, dolphins were present in 29 trawls. The most common behavioral state was  
276 traveling (mean =  $63.3 \pm 5.1\%$  of events per dolphin per trawl), followed by foraging  
277 (mean =  $34.6 \pm 5.2\%$ ) (Fig. 3). Trampolining, classified in this study as a travelling  
278 behavior, dominated the traveling events recorded outside the net (Fig. 3).

279 Social behaviors were relatively rare, with a total of 36 events recorded inside the  
280 net and only five events recorded outside the net (Fig. 3). The most common social event  
281 recorded both inside and outside the net was chasing dolphins, followed by social  
282 inverting, where an individual inside the net inverted to present its ventrum to a dolphin  
283 outside the net, or two dolphins outside the net presented their ventra to each other,  
284 whereby one dolphin inverted.

## 285 **4 Discussion**

### 286 **4.1 Association of dolphins with fishing gear**

287 This study of the subsurface behavior of bottlenose dolphins around operating fish trawl  
288 nets is one of the few visual underwater assessments of dolphin-trawler associations.  
289 Previous studies have assessed delphinid subsurface behavior around trawl gear, but in less  
290 detail and without being able to identify individuals (Broadhurst 1998, Mackay 2008). We  
291 documented high interaction rates between dolphins and trawl nets in the PTF. Dolphins  
292 were present outside the net in 94% of all assessed trawls and for up to 99% of the duration  
293 of an individual trawl, while they entered the net during 81% of all trawls and were present  
294 inside the net for up to 98% of the trawl duration. These interaction rates are higher than  
295 those previously reported by Mackay (2008), who recorded the presence of dolphins inside  
296 the net during 66% of all trawls and for up to 64% of the trawl duration and noted that the  
297 interaction rates might be higher if assessed over the duration of entire trawls.

298 It is difficult to draw comparisons between this study and other assessments of  
299 dolphin-trawler interactions, since they have focused primarily on dolphin behavior at the  
300 surface, or the damage to target catch and gear caused by dolphins (*e.g.*, Chilvers and  
301 Corkeron 2001, Gonzalvo *et al.* 2008). We suspect that interaction rates between dolphins  
302 and trawl fisheries may be higher than can be determined from observations made from the  
303 surface or upon retrieving the catch. Interactions which occur while the trawl net is  
304 actively fishing may lead to unobserved bycatch if asphyxiated dolphins are expelled  
305 through the BRD's escape hatch before the net is retrieved. This is likely to have important  
306 conservation implications for dolphin communities that frequently interact with trawl  
307 fisheries, as the rate of injury and mortality in trawl nets is likely to be higher than that  
308 which is observed from onboard the trawl vessels.

309 Furthermore, most reports of the interactions between dolphins and trawlers have  
310 focused on opportunistic feeding by dolphins on enmeshed fish during winch-up or  
311 discarded bycatch around trawlers (Corkeron *et al.* 1990, Fertl and Leatherwood 1997,  
312 Dahlheim and Heyning 1999, Bearzi 2002), while few studies have quantified dolphin  
313 behavior in and around actively trawling nets. Our study demonstrates that bottlenose  
314 dolphins associating with trawl vessels in the Pilbara actively forage within and around the  
315 nets while they are fishing, and also take advantage of discards after winch-up.

316

#### 317 **4.2 Subsurface behavior of dolphins**

318 Dolphins displayed a high proportion of foraging behaviors both inside and outside the  
319 actively fishing trawl net. Dolphins that enter the net are likely to do so for the  
320 opportunities of encountering large numbers of potential prey, but also because the net's  
321 surface provides a barrier against which dolphins can chase and catch fish. Fish chased by  
322 dolphins often swam into the meshes, where they became entangled and were easily  
323 captured by the dolphins.

324 Dolphins also foraged on the outside of the net. The main foraging behavior  
325 recorded was inverting to swim underneath the net. This suggests that some fish may swim  
326 underneath the net when it is not in contact with the sea floor, providing dolphins outside  
327 the net with a food source that may not be found in similar proportions near the outer sides  
328 or upper surface of the net. This was supported by the number of observations of inverted  
329 foraging by dolphins underneath the net ( $n = 186$ ). Occasionally, dolphins were observed  
330 pulling enmeshed fish from the net, a behavior previously observed around codends in  
331 Australian prawn trawl fisheries (Broadhurst 1998, Svane 2005). While our cameras did  
332 not capture footage of the area around the codend, dolphins in the PTF are likely to take  
333 prey from that section of the net. The current study indicated that trawl vessels operating in

334 the PTF present bottlenose dolphins with numerous foraging opportunities beyond that of  
335 feeding on discards after the catch is sorted.

336 The most common behavior observed outside the net was trampolining, which we  
337 classified as a travelling behavior, since dolphins that exhibited the behavior frequently  
338 moved forward towards the net opening while performing a series of bounces on the net.  
339 Furthermore, this behavior did not appear to contribute to socializing, nor to any other  
340 commonly used behavioral category. Trampolining dolphins often turned and twisted their  
341 bodies when bouncing on the net as it moved through the water column, and trampolining  
342 was sometimes preceded or followed by the individual rubbing its head and rostrum  
343 against the net. Trampolining may therefore be performed to remove old skin, parasites or  
344 even remoras, which were observed on three individuals. Since many delphinids have a  
345 tendency to investigate and interact with physical and biological features in their  
346 environment (*e.g.*, Jacobsen 1986, Shane *et al.* 1986, Mann and Smuts 1999), trampolining  
347 may also simply be a play behavior.

348 Our results indicate that dolphins exploit trawl nets for more than just foraging  
349 opportunities. The motivating factors behind dolphin interactions with trawl nets highlight  
350 the importance of further video camera deployments to determine which areas of the nets  
351 present the greatest risk of entanglement to dolphins. Although the rate of dolphin bycatch  
352 is relatively low in the PTF – less than 1 dolphin per 100 trawls (range = 0.36 – 0.91) – this  
353 extrapolates to an incidental capture of about 20-50 dolphins per year (Allen and  
354 Loneragan 2010).

355 All dolphins observed inside the trawl net were swimming in the same direction as  
356 the vessel (Fig. 2), which suggests that dolphins are likely to swim forward and upward  
357 when coming into contact with the exclusion grid, which forms part of the BRD. The  
358 downward-opening hatch currently used in the PTF is unlikely to allow dolphins to escape



359 to the surface. Pingers do not appear to be effective deterrents for bottlenose dolphins  
360 (*Tursiops* spp.) interacting with static fishing nets, e.g., gill nets, due to this species'  
361 tendency to habituate to the associated 'dinner bell effect' (Dawson *et al.* 1998, Cox *et al.*  
362 2003, Brotons *et al.* 2008) and similar results can be expected for trawl nets. Modifications  
363 to fishing nets and BRDs, however, may offer the most effective solution for reducing  
364 delphinid bycatch, as they are less costly than effort reductions or spatial/temporal  
365 closures, and have been successful in reducing dolphin capture and mortality in other  
366 circumstances (e.g., Hall *et al.* 2000).

367

#### 368 **4.3 Specialization within a community of trawler-associated dolphins?**

369 About 25-50 dolphins were observed around the trawlers when the nets were hauled. These  
370 numbers are likely to represent a relatively small proportion of the total population of  
371 dolphins that inhabit the area trawled by the PTF (12 779 km<sup>2</sup>). The relatively small  
372 numbers of dolphins observed around trawl vessels suggests that they may form a  
373 community within a larger population of unknown size that inhabits the region. A study  
374 from Moreton Bay, Queensland, identified two dolphin communities within a broader  
375 population: one that fed in association with trawlers and another that did not (Chilvers and  
376 Corkeron 2001). The two communities differed in group sizes and habitat preference and  
377 were socially segregated (Chilvers and Corkeron 2001). Whether a similar scenario occurs  
378 in the PTF requires testing using photo-identification and genetic markers, which forms the  
379 basis of current studies (Allen, unpublished data).

380           Furthermore, the number of individual dolphins recorded inside the nets (just 29  
381 identified individuals in total and a maximum of nine in any one trawl) relative to the 25 to  
382 50 observed at the surface around the vessels after each trawl, suggests that entering the  
383 nets to forage may represent a specialized behavior. This specialization may be exhibited

384 by a limited number of individuals within the community of trawler-associated dolphins, a  
385 hypothesis supported by the observation that dolphins were observed outside the net during  
386 five trawls, but none of them entered the net. Similarly, the fact that 22 of the 29 dolphins  
387 that entered the net did so a number of times during the same trawl suggests that these  
388 individuals spent little, or no time interacting with the outside of the net, but left the net  
389 only to breathe at the surface before returning to the inside of the net. With the exception  
390 of one individual, all of the dolphins that were re-sighted during different trawls entered  
391 the trawl nets within the same localized area (Fig. 1). This suggests that, while dolphins  
392 have the ability to follow vessels throughout the fishing grounds, they appear to interact  
393 with trawl nets opportunistically when a trawler is present within a certain area. Foraging  
394 traditions that are restricted to particular groups or matriline have been documented in  
395 several other bottlenose dolphin populations (Chilvers and Corkeron 2001, Mann and  
396 Sargeant 2003).

397

#### 398 **4.4 Implications for reducing the fishing-related mortality of dolphins**

399 In view of the high interaction rates recorded in this study, mitigation efforts to reduce  
400 dolphin bycatch and mortality should focus on preventing dolphins from becoming caught,  
401 injured or killed in the gear, rather than attempting to prevent these individuals from  
402 interacting with the nets. This may be achieved through the development of more effective  
403 bycatch reduction devices. Underwater video analyzes of the interactions between dolphins  
404 and exclusion grids during capture events are critical to evaluating the efficiency of the  
405 currently used and modified bycatch reduction devices. We therefore recommend the  
406 recommencement of video camera deployments inside and outside trawl nets in the PTF to  
407 record the bycatch events known to occur from observer accounts. The threat posed to the  
408 resident dolphin population by the current fishing effort, however, cannot be fully

409 quantified until genetic data and abundance estimates become available. In view of the  
410 high interaction rates recorded inside trawl nets in this study, mitigation efforts to reduce  
411 dolphin bycatch and mortality should focus on pre-venting dolphins from becoming  
412 caught, injured or killed in the gear, rather than attempting to prevent these individuals  
413 from interacting with the nets. This may be achieved through the development of more  
414 effective bycatch reduction devices in this fishery.

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540

541 **Table 1** Ethogram defining the behavioral states and events recorded in this study of  
 542 subsurface dolphin behavior in and around trawl nets in the Pilbara Trawl Fishery.

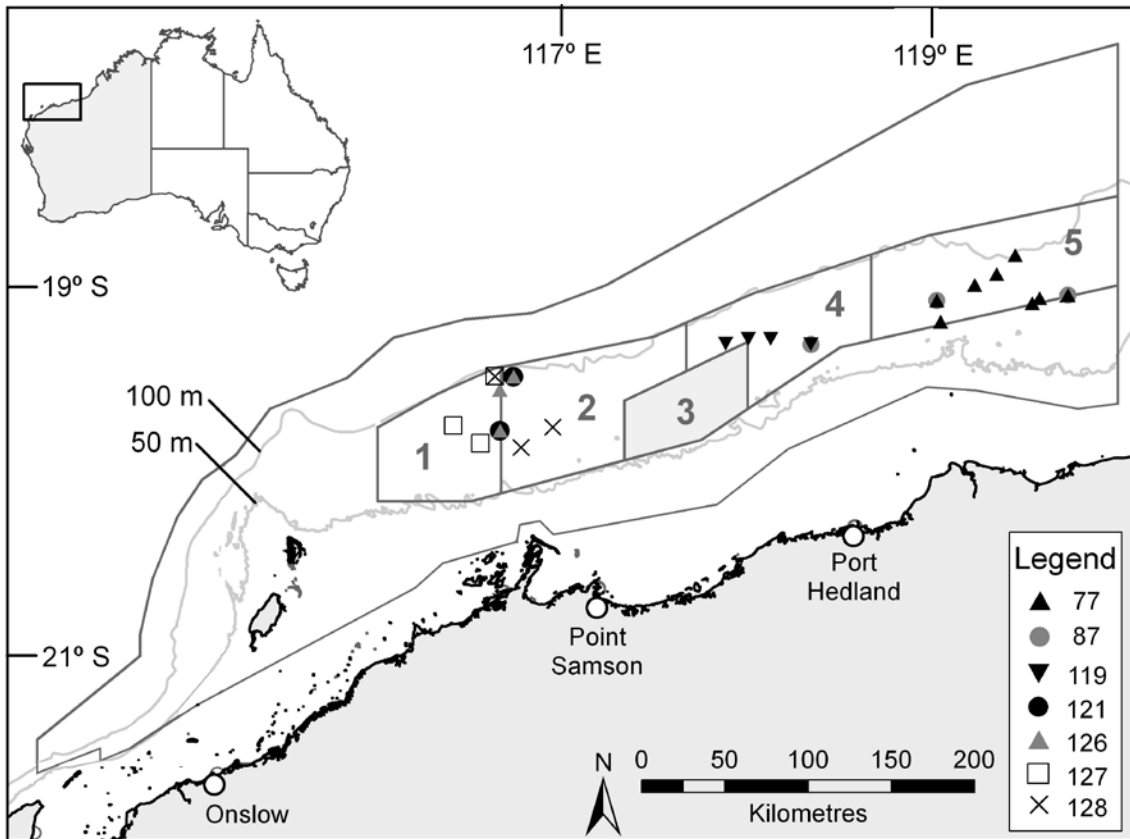
543

<b>Behavioral state (bold) and event</b>	<b>Description</b>
<b>Travelling</b>	
Entry_head first	Enters the net head first
Entry_sideways	Enters the net so that left or right side is visible
Entry_tail first	Enters the net tail first, thus backing down into net
Exit net	Swims out of the net
Leave field of view	Dolphin inside the net either swims behind the camera or swims out of view, <i>e.g.</i> , if large amounts of sediment are present. Not recorded for dolphins outside the net
Rest on net	Lies on surface of net for > 2sec
Trampolining	Bounces on external surface of net, one or multiple times, with each bounce < 2 seconds
<b>Foraging</b>	
Head scan	Moves head from side to side
Fish chase	Chases fish; may or may not result in capture
Fish catch	Catches fish
Head shake	Rapidly moves head from side to side with captured fish in mouth
Inverted foraging	Inverts so that ventrum faces upward while chasing fish
<b>Socializing</b>	
Belly to belly	Two dolphins make belly to belly contact
Copulation	Dolphins mating or belly to belly for >5 seconds
Dolphin bite	Bites another dolphin in social interaction
Dolphin chase	Chases another dolphin, <i>e.g.</i> , out of the net
Pec fin-pec fin rub	Contact between the pectoral fins of two dolphins
Social invert	Dolphin inverts presenting its ventrum to another dolphin

544

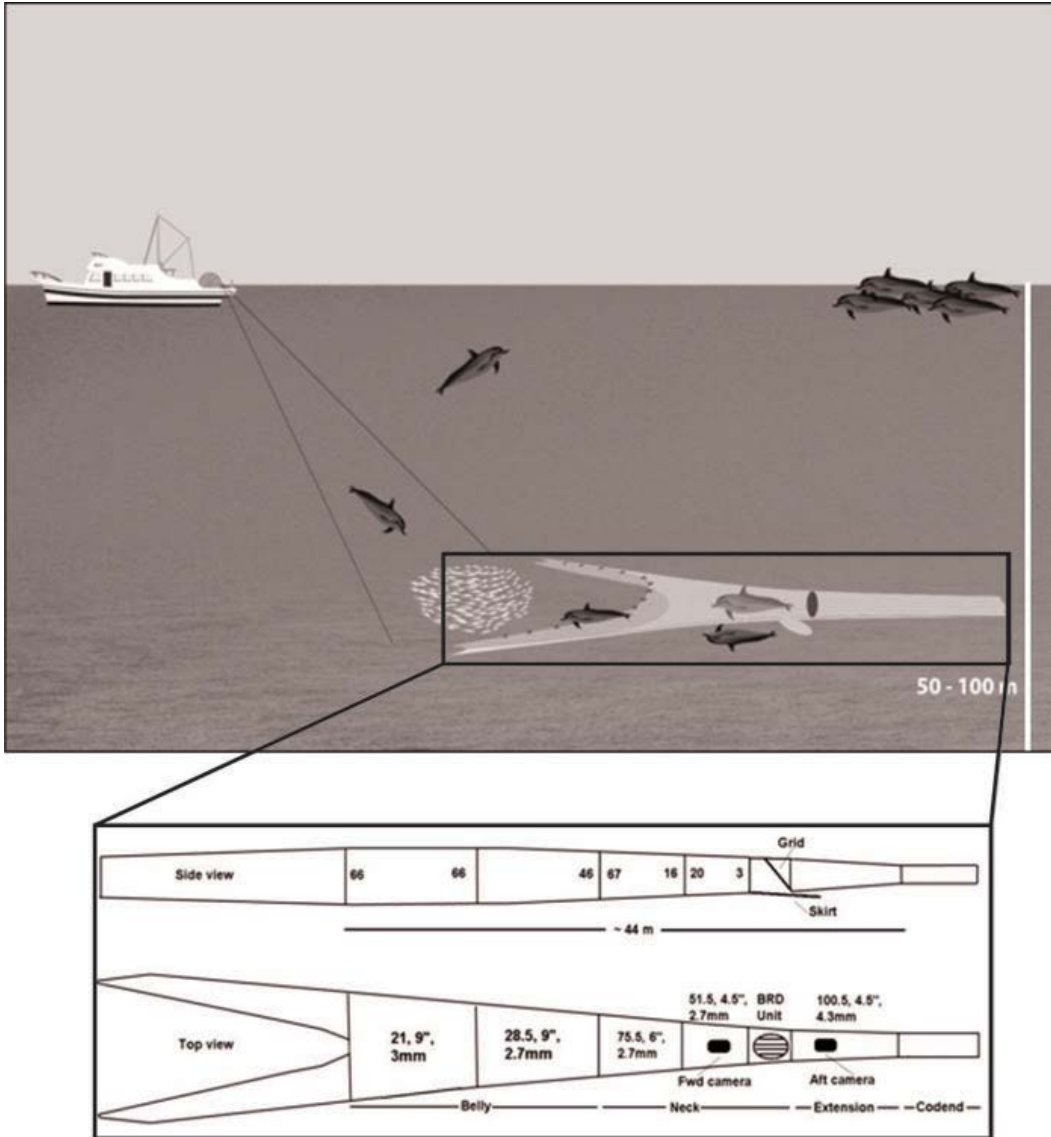
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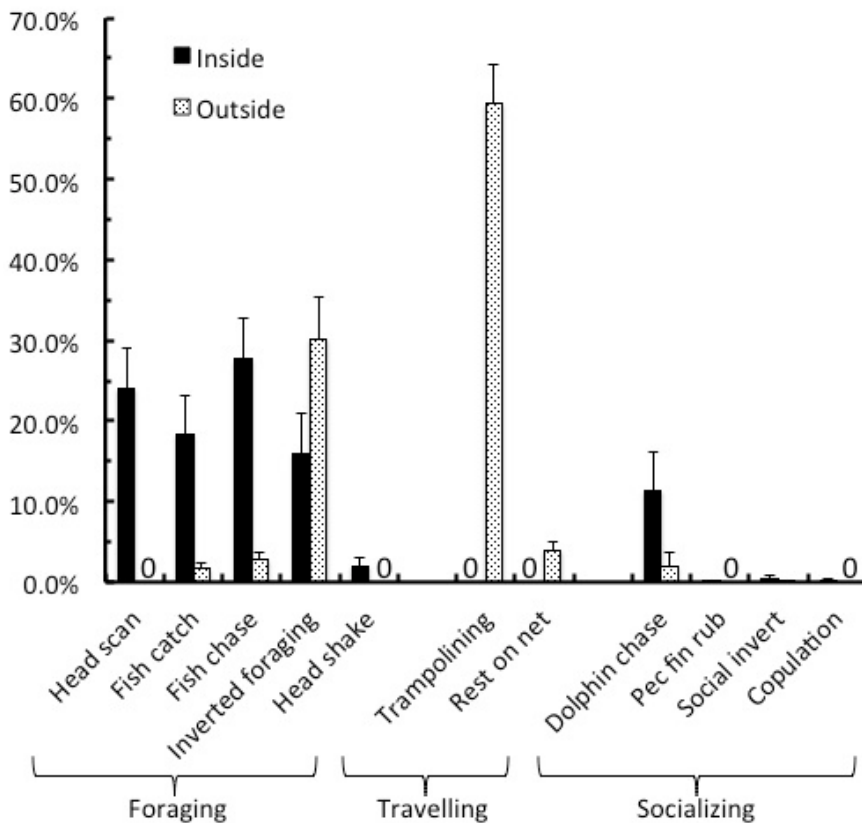
548 a)



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550  
551 b)



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556

557 **Figure captions**

558

559 **Figure 1** Map showing the location of the Pilbara Trawl Fishery in north-western Australia  
 560 and the position of the seven dolphins resighted in different fishing trips. Symbols  
 561 correspond to ID numbers of individual dolphins (see legend). The 50 m and 100 m depth  
 562 contours are shown. Areas 1, 2, 4 and 5 are open to trawling.

563

564 **Figure 2 a)** Graphic showing the position of an active trawl net on or near the seafloor,  
 565 direction of net opening, typical positions of dolphins in and near the net/following the  
 566 trawler on the surface. Detailed net diagram shows net specifications and BRD design with  
 567 downward opening escape hatch and position of the video cameras. Net diagram modified  
 568 from Stephenson *et al.* (2006) following net plans by H. McKenna. Figure not drawn to  
 569 scale.

570 **b)** Still image of dolphins inside and outside an actively fishing trawl net, showing field of  
 571 view of the camera.

572

573 **Figure 3** Mean percentages of behavioral events within behavioral states per dolphin per  
 574 trawl, recorded from video observations of dolphins inside and outside actively fishing  
 575 trawl nets in the Pilbara Trawl Fishery. 0 = no event recorded.